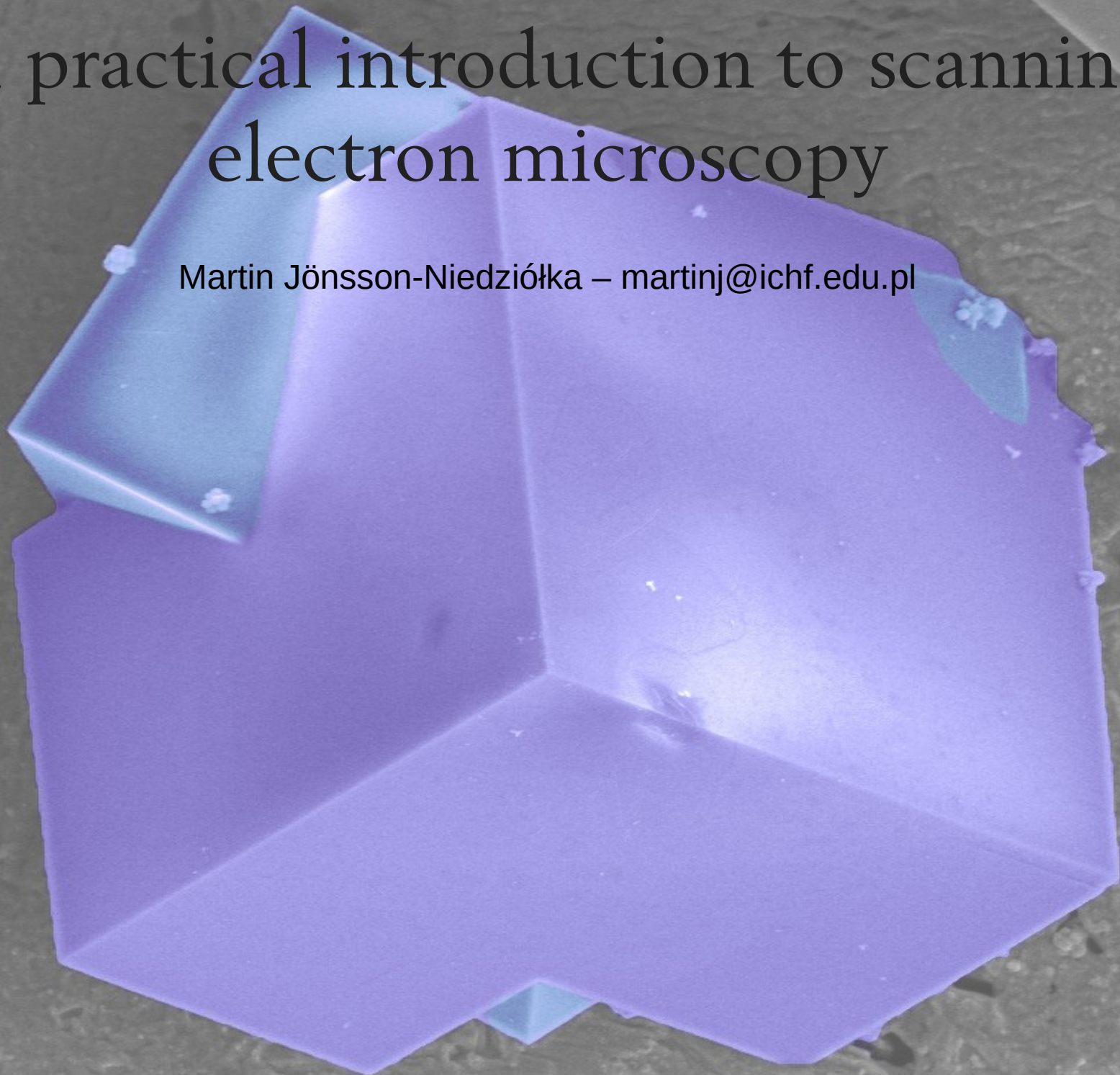


# A practical introduction to scanning electron microscopy

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# Practical information

4 hours lectures

4 hour practicals (groups of 3)

Exam: Measure “mystery sample”

Analyse images (size, composition)

Hand in report

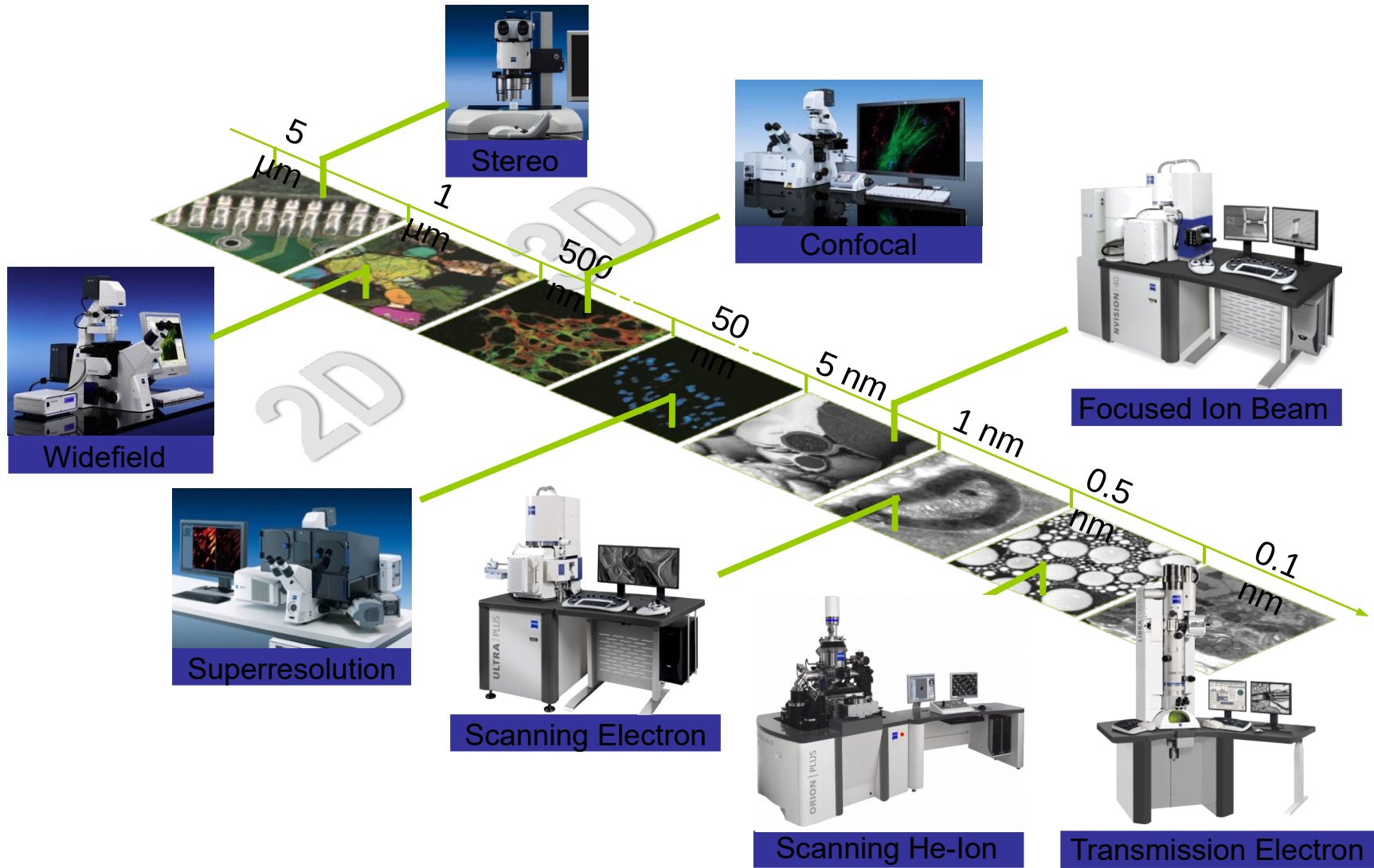
Info on [www.charge-transfer.pl/sem-course](http://www.charge-transfer.pl/sem-course)

## Content

Basic principles  
Electron-matter interaction  
Settings and limitations  
Different detection modes  
Our system  
Sample preparation  
EDX / WDX



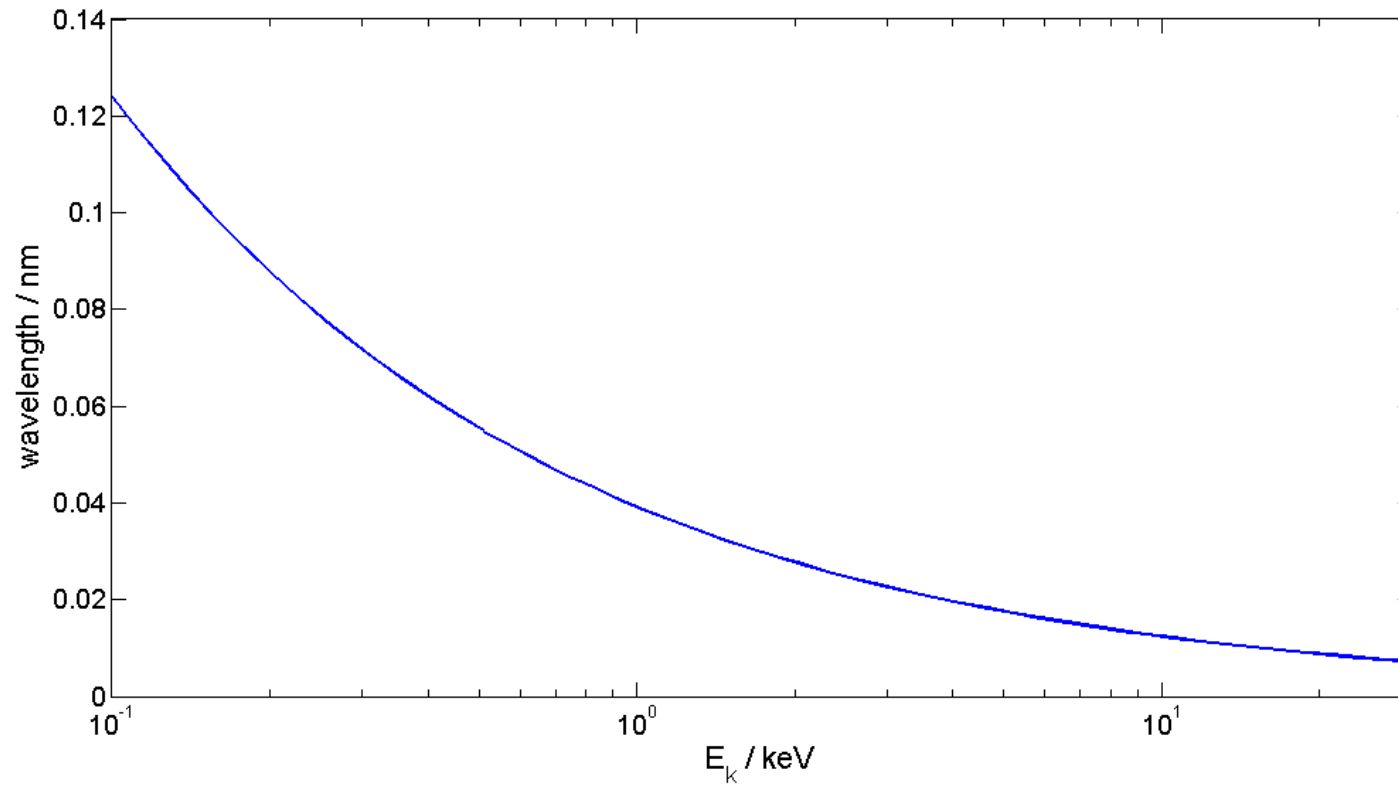
# Why SEM?



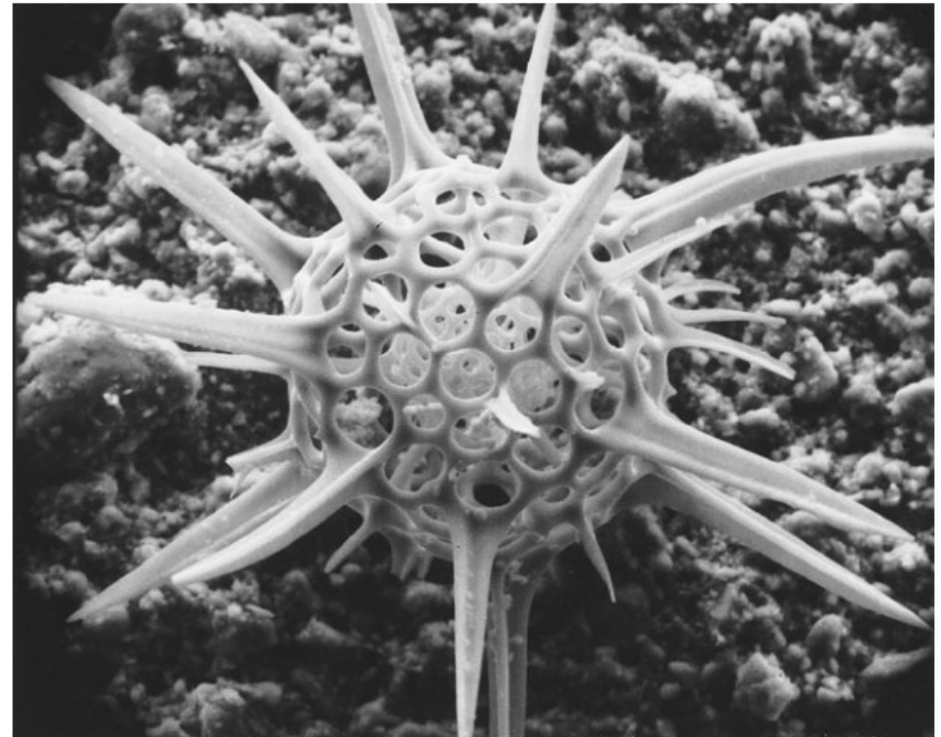
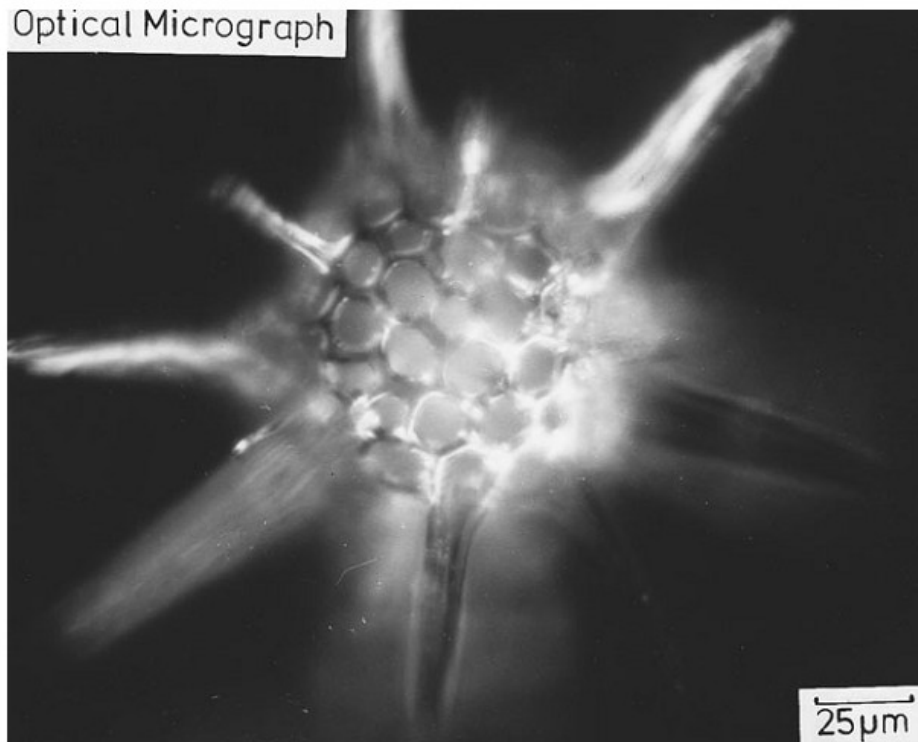
# Why SEM?

de Broglie wave-length

$$\lambda = \frac{h}{\sqrt{2mE_{kin}}} \approx \frac{1.23}{\sqrt{E_{kin}}} \text{ nm}$$



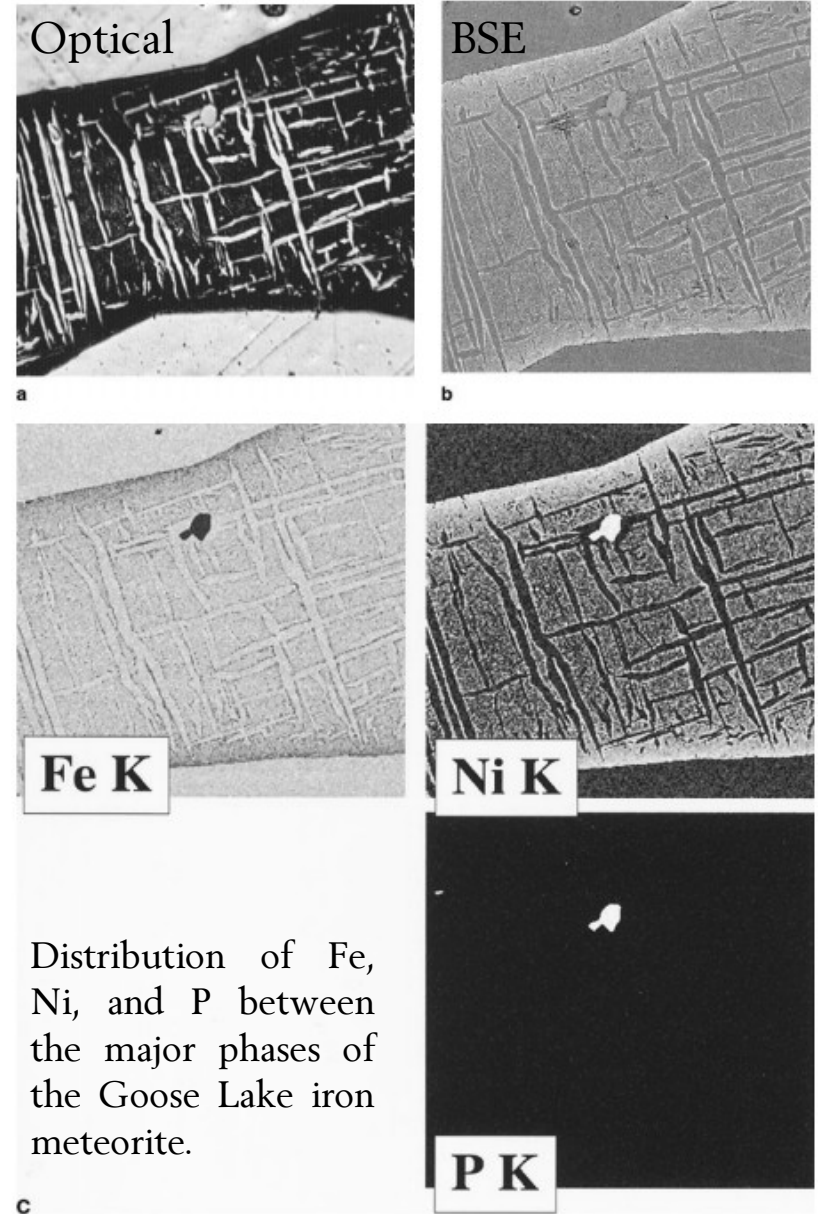
# Why SEM?



Optical and SEM micrograph of the radiolarian *Trochodiscus longispinus*

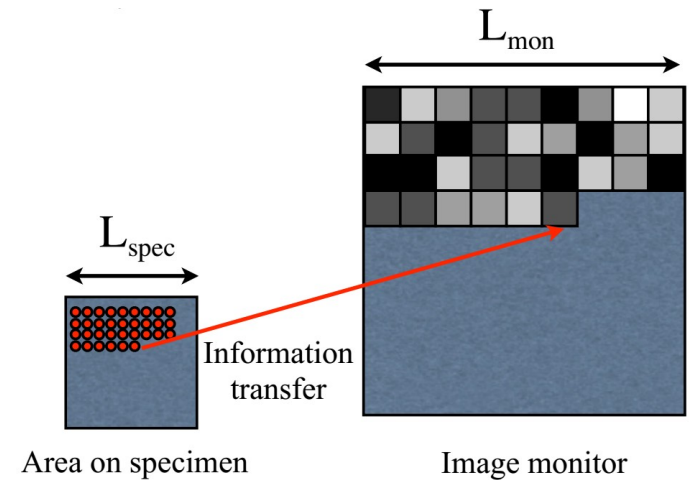
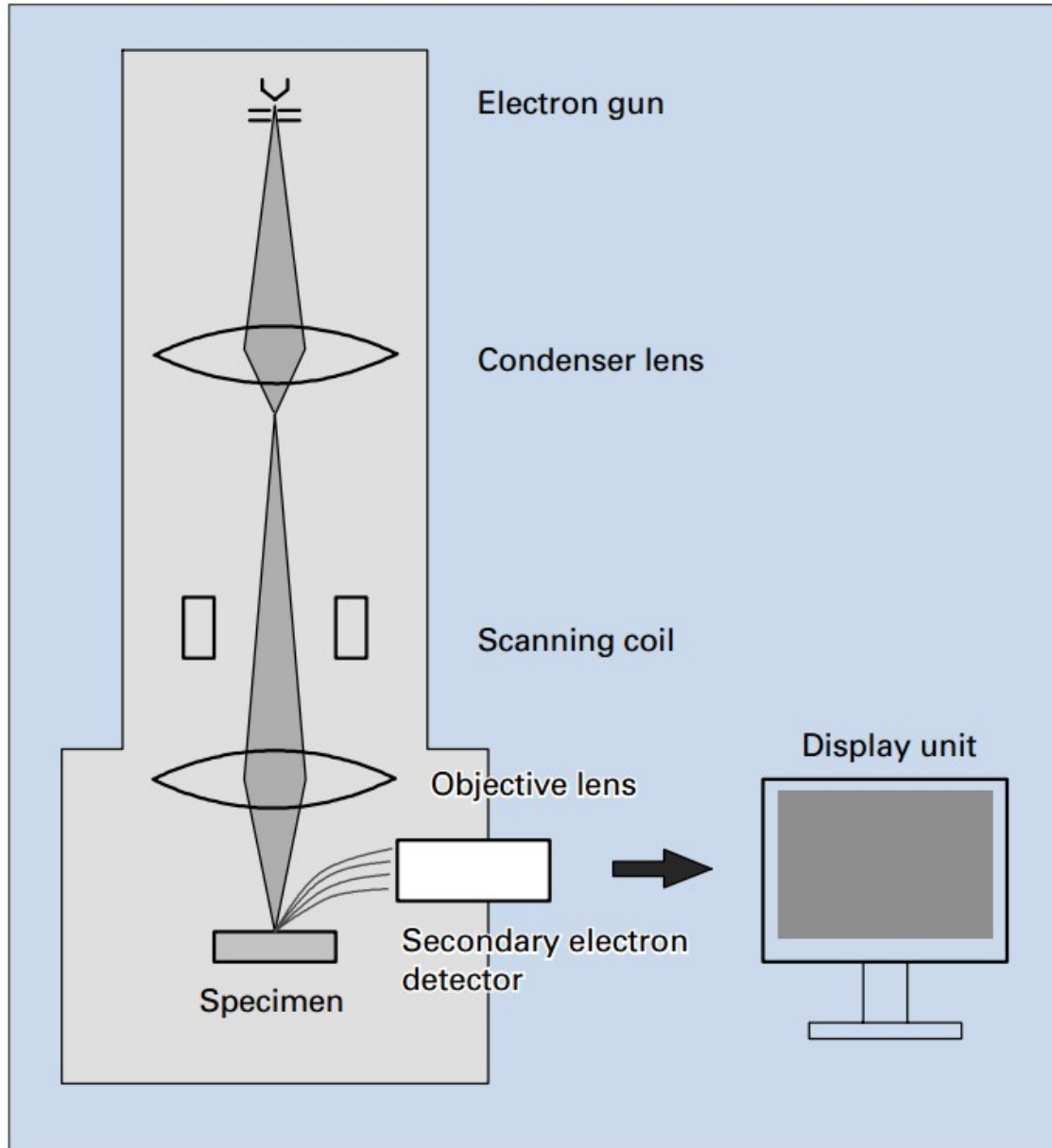
# Why SEM?

- Versatile
  - Topography
  - Elemental information
  - Crystallinity
- Fast
- Non-destructive (mostly)
- Easy (mostly)



Source: Goldstein

# Working principle

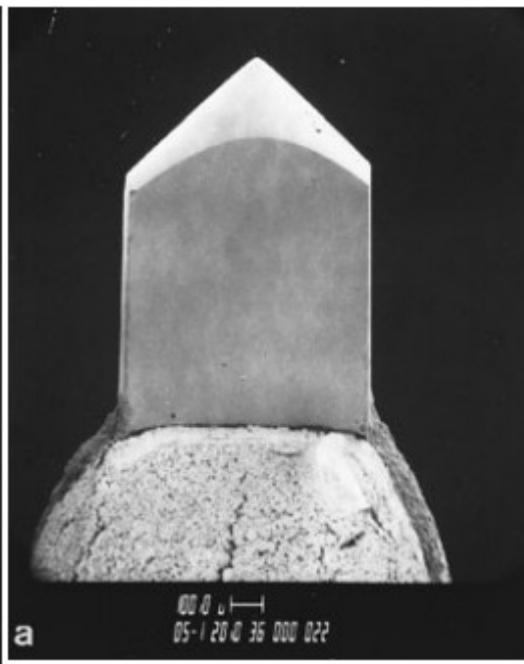


# Electron gun

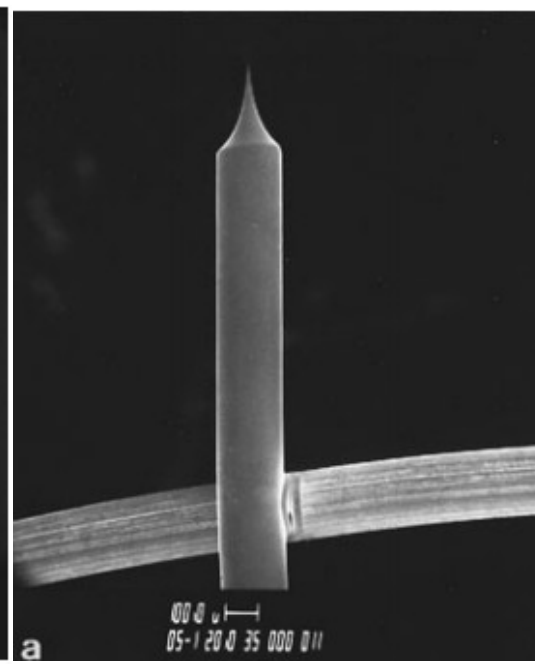
	TE gun		FE gun	SE gun
	Tungsten	LaB <sub>6</sub>		
Electron-source size	15 ~ 20 μm	10 μm	5 ~ 10nm	15 ~ 20nm
Brightness (Acm <sup>-2</sup> rad <sup>-2</sup> )	10 <sup>5</sup>	10 <sup>6</sup>	10 <sup>8</sup>	10 <sup>8</sup>
Energy spread (eV)	3 ~ 4	2 ~ 3	0.3	0.7 ~ 1
Lifetime	50 h	500 h	Several years	1 to 2 years
Cathode temperature (K)	2800	1900	300	1800
Current fluctuation (per hour)	<1%	<2%	>10%	<1%



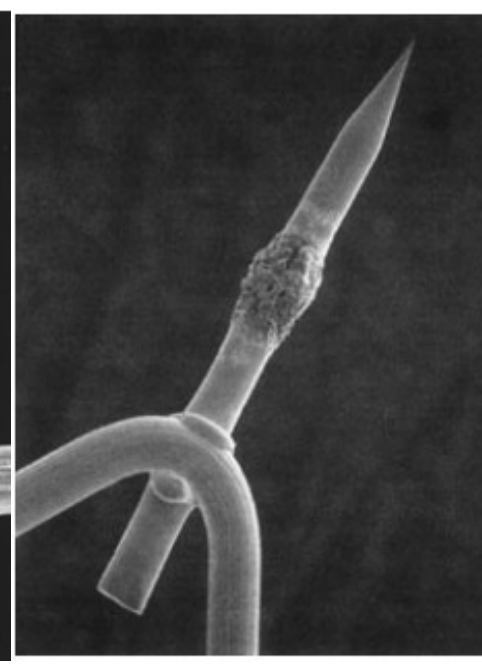
Tungsten wire thermionic emitter



Lanthanum hexaboride thermionic emitter



Tungsten singly-crystal cold field emission tip



Tungsten/ZrO<sub>2</sub> Schottky field emission tip  
Source: Goldstein



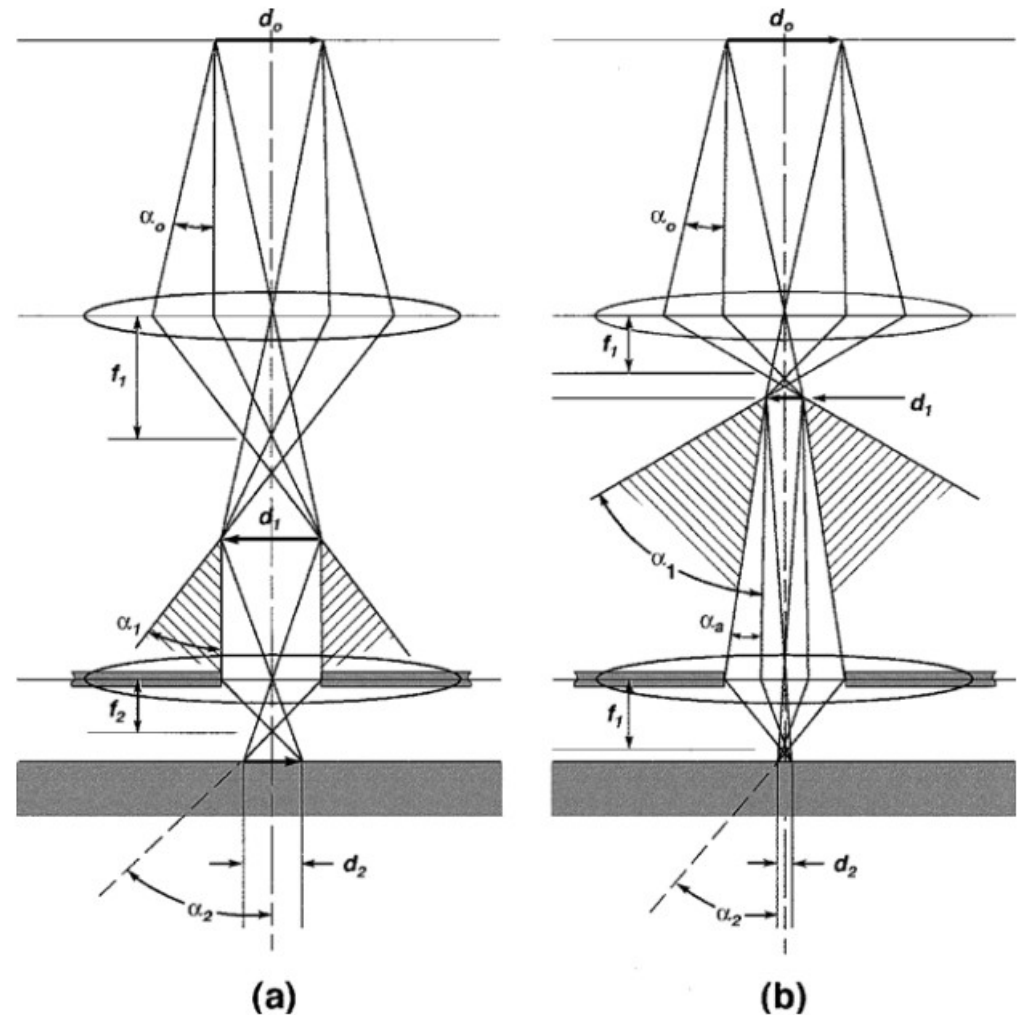
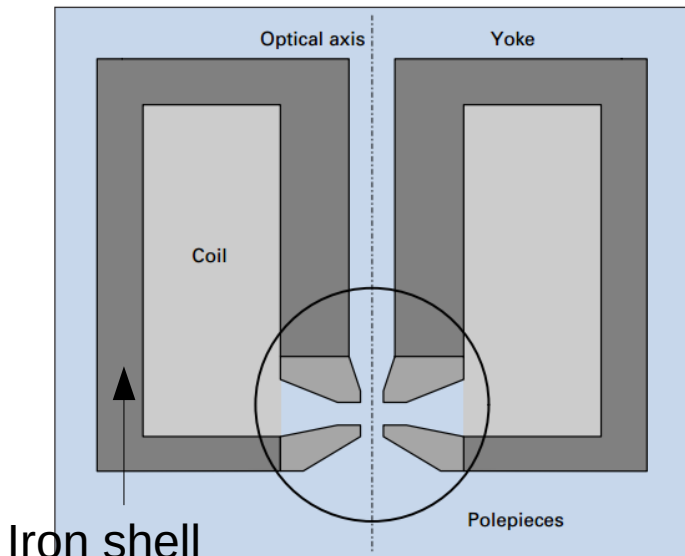
# Electron lenses

In SEM magnetic lenses are used.  
 Cylindrically symmetric  
 “Soft magnetic” shell  
 Field leaks out at narrow gap.  
 Only the pole pieces need to be very accurately fabricated

Focal length of the lens can be changed

$$f \approx V_0 / (NI)^2$$

Beam is twisted through the lens



**Figure 2.20.** Effect of condenser lens strength in a two-lens lens system. (a) Weak condenser lens, (b) strong condenser lens.

Strong lens ('large spot') → Higher current  
 → Lower resolution

# Objective lens

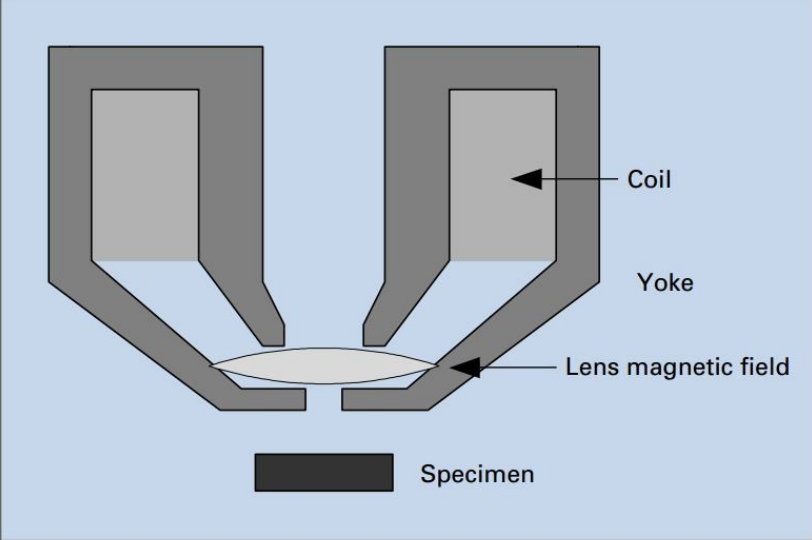


Fig. 34 Construction of the conventional objective lens.

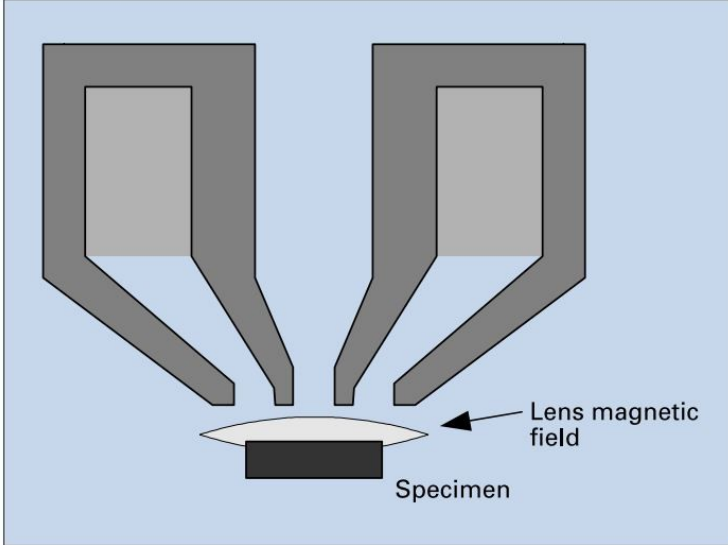


Fig. 36 Construction of the semi-in-lens objective lens.

snorkel immersion

WD / mm	$E_{max}$ / kV
1	1.2
2	3.5
3	7
4	12
5	18
6	24
7	30

- Snorkel:
- Higher resolution
  - Lower max field of view (min ca 1500x)
  - Only in-lens detector
  - Limit on usable voltages

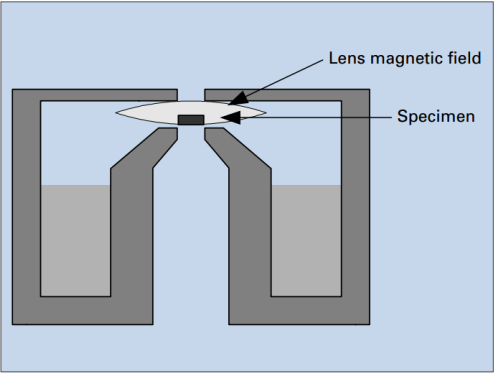


Fig. 35 Construction of the in-lens objective lens.

# Limits on resolution

Resolution is given by probe diameter (size of electron beam)

- Gaussian beam diameter (no aberration)

$$d_G = \sqrt{\frac{4i_p}{\beta\pi^2\alpha_p^2}}$$

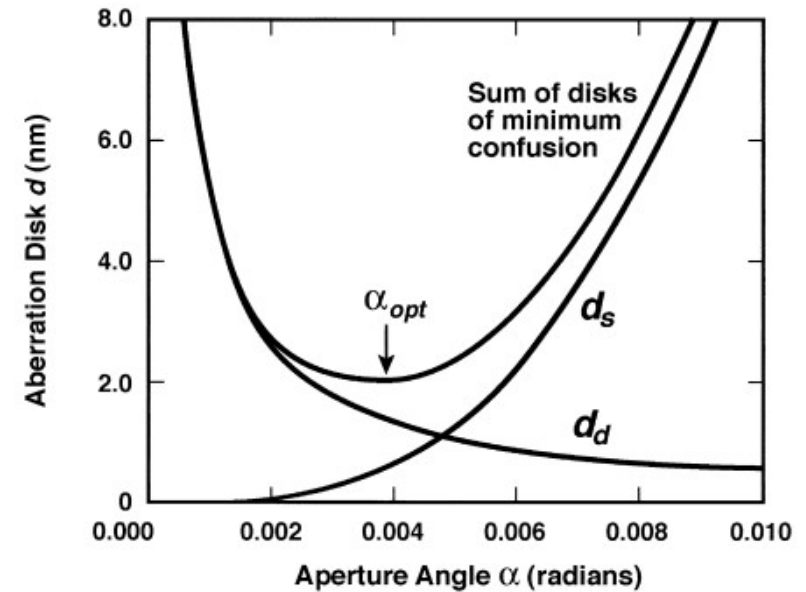
- Diffraction in aperture
- Spherical aberration

$$d_s = \frac{1}{2}C_s\alpha^3,$$

Almost prop to  $f$

- Chromatic aberration

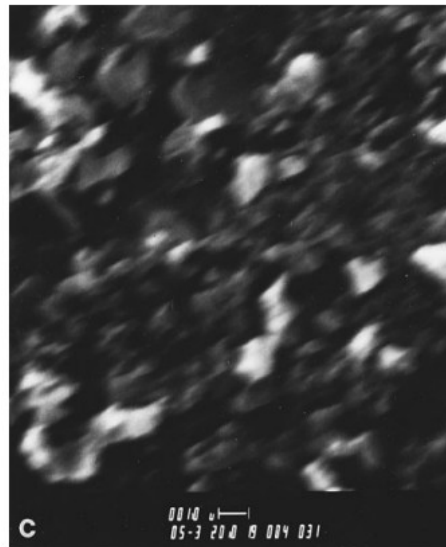
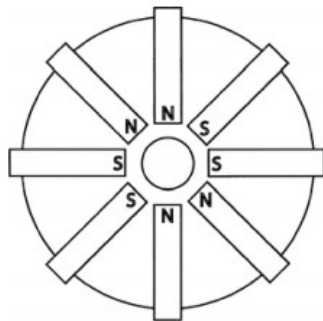
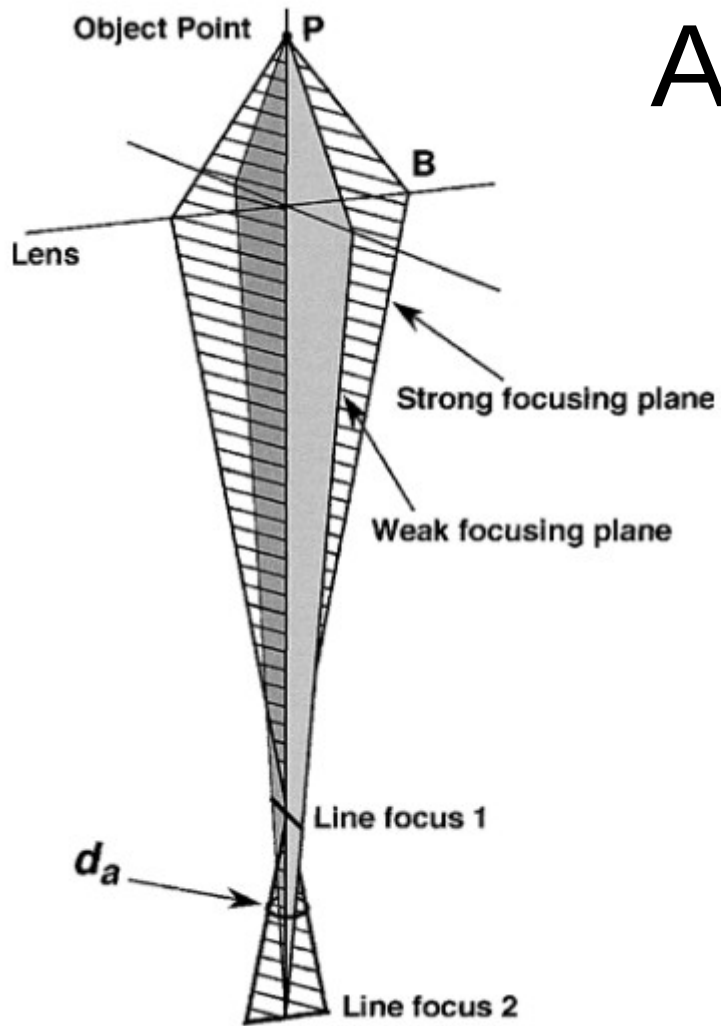
$$d_c = C_c \alpha \left( \frac{\Delta E}{E_0} \right)$$



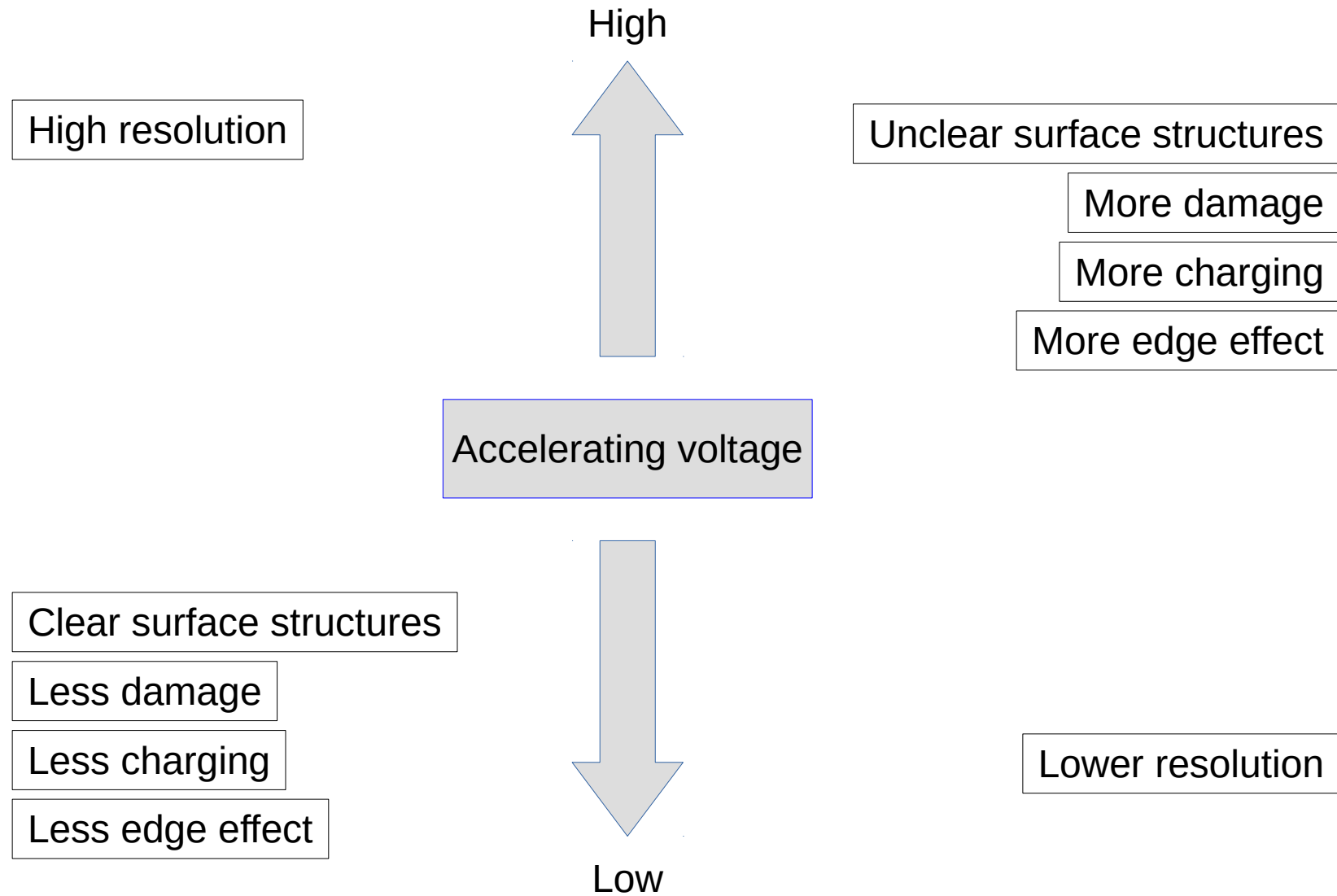


# Astigmatism

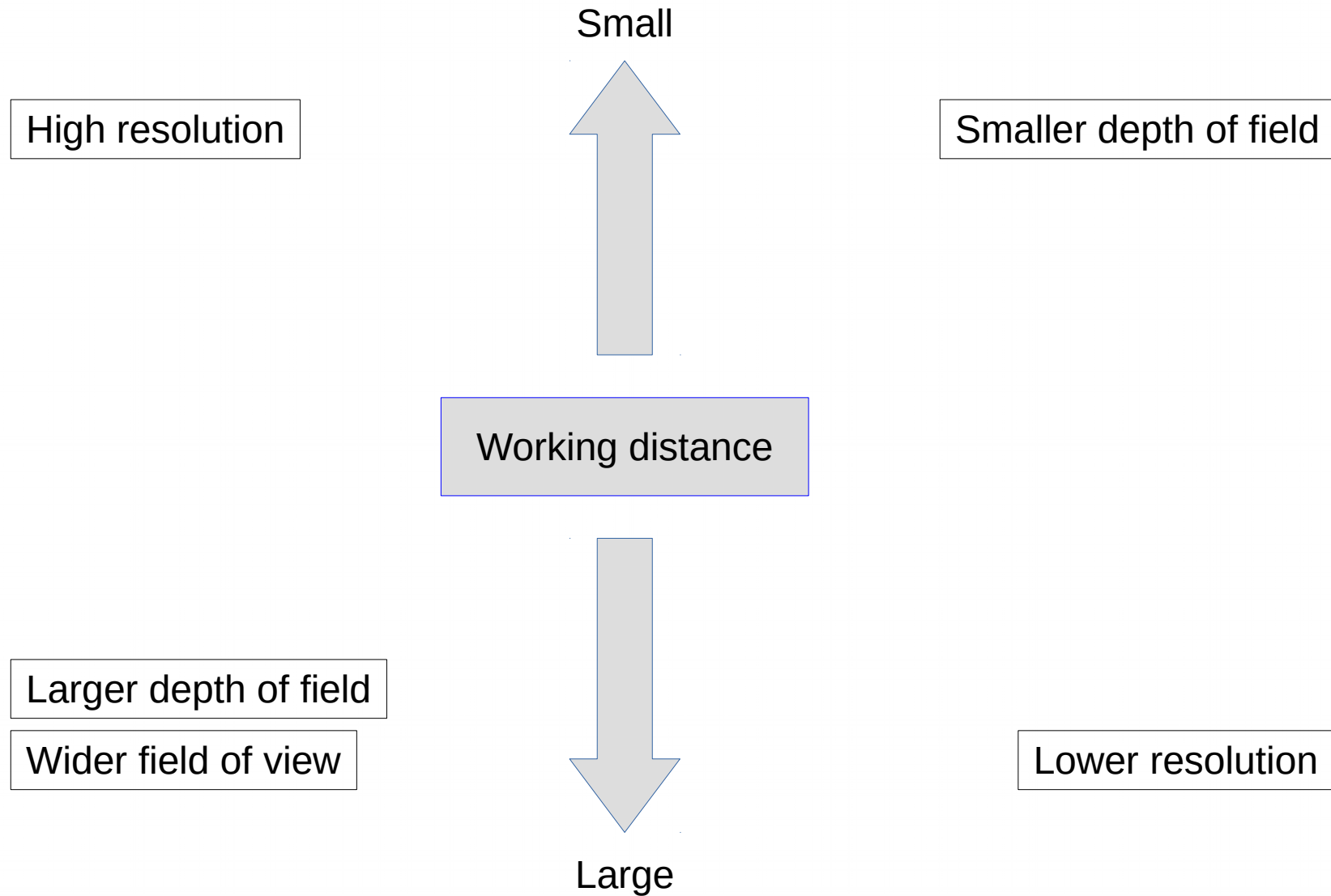
The lens does not focus with the same strength in all directions.



# A game of compromises

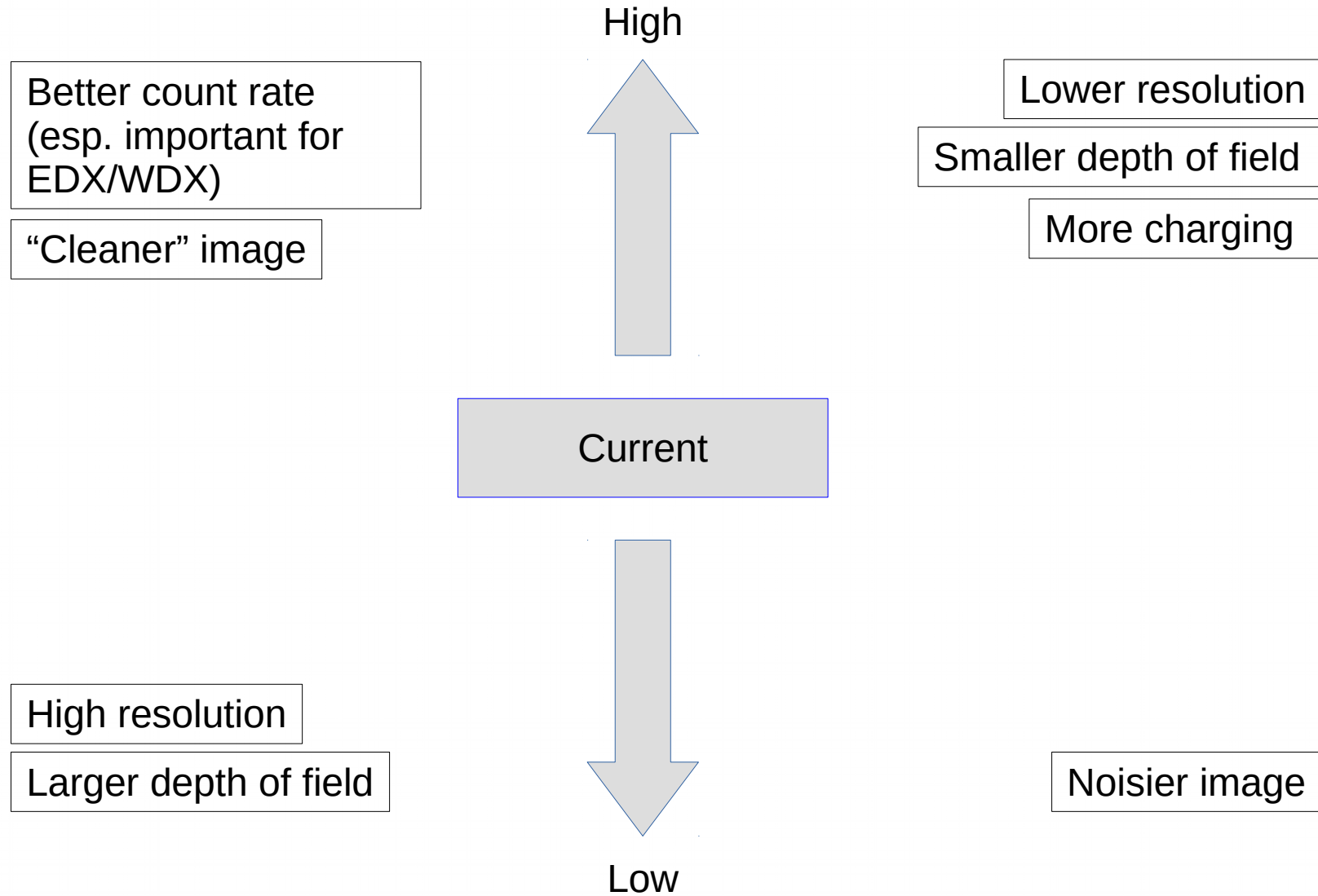


# A game of compromises

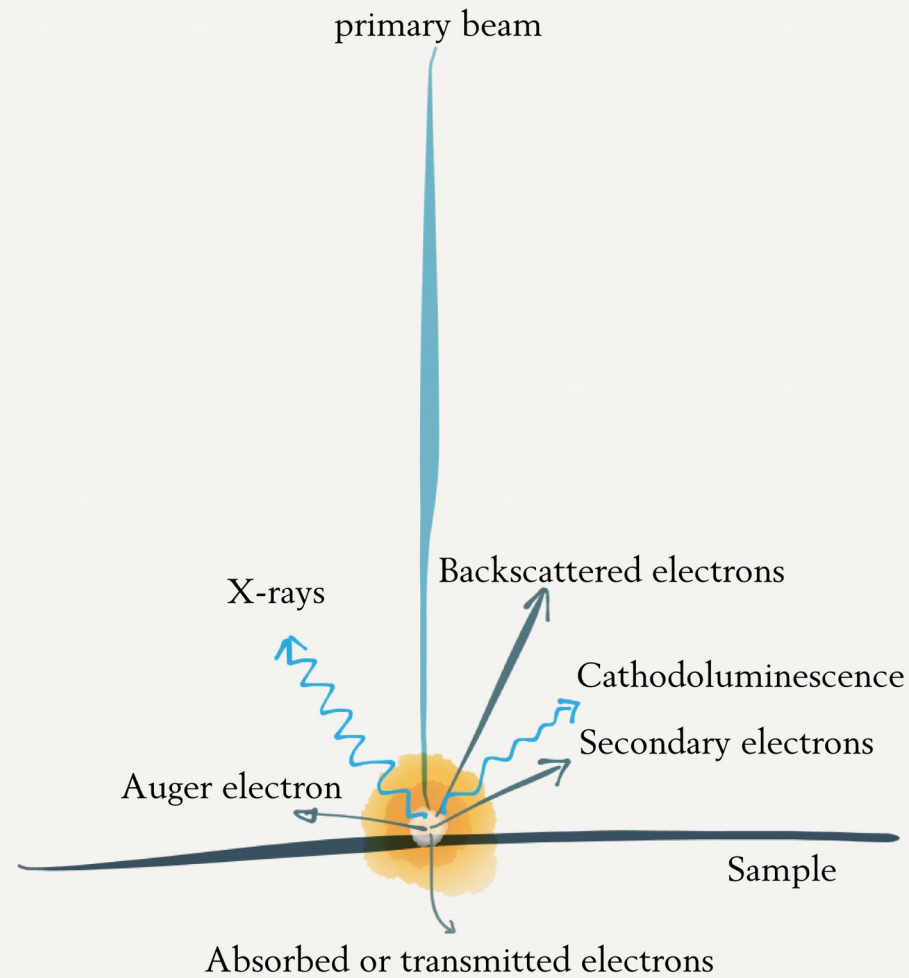




# A game of compromises

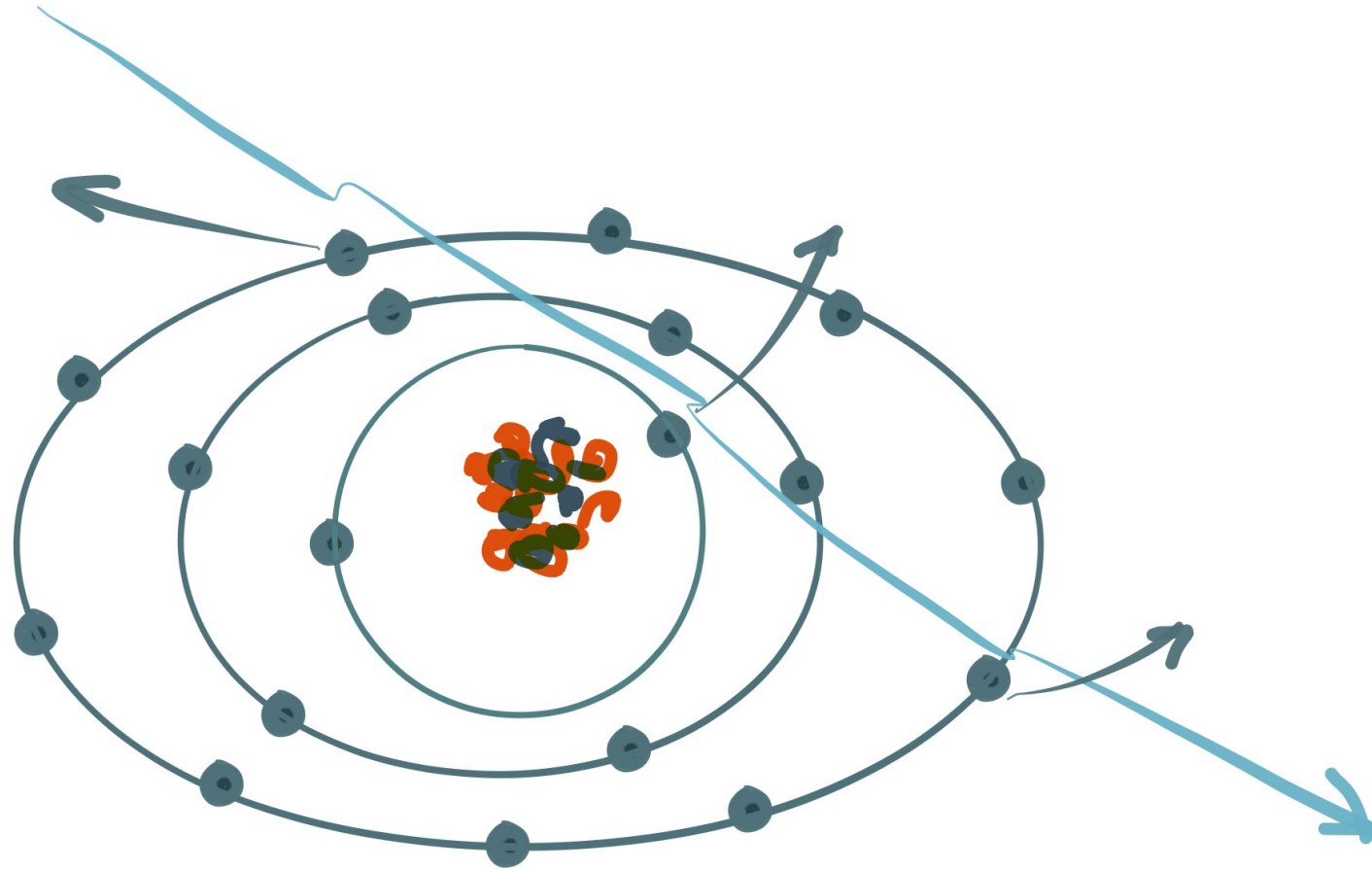


# Electron matter interaction



# Secondary electrons

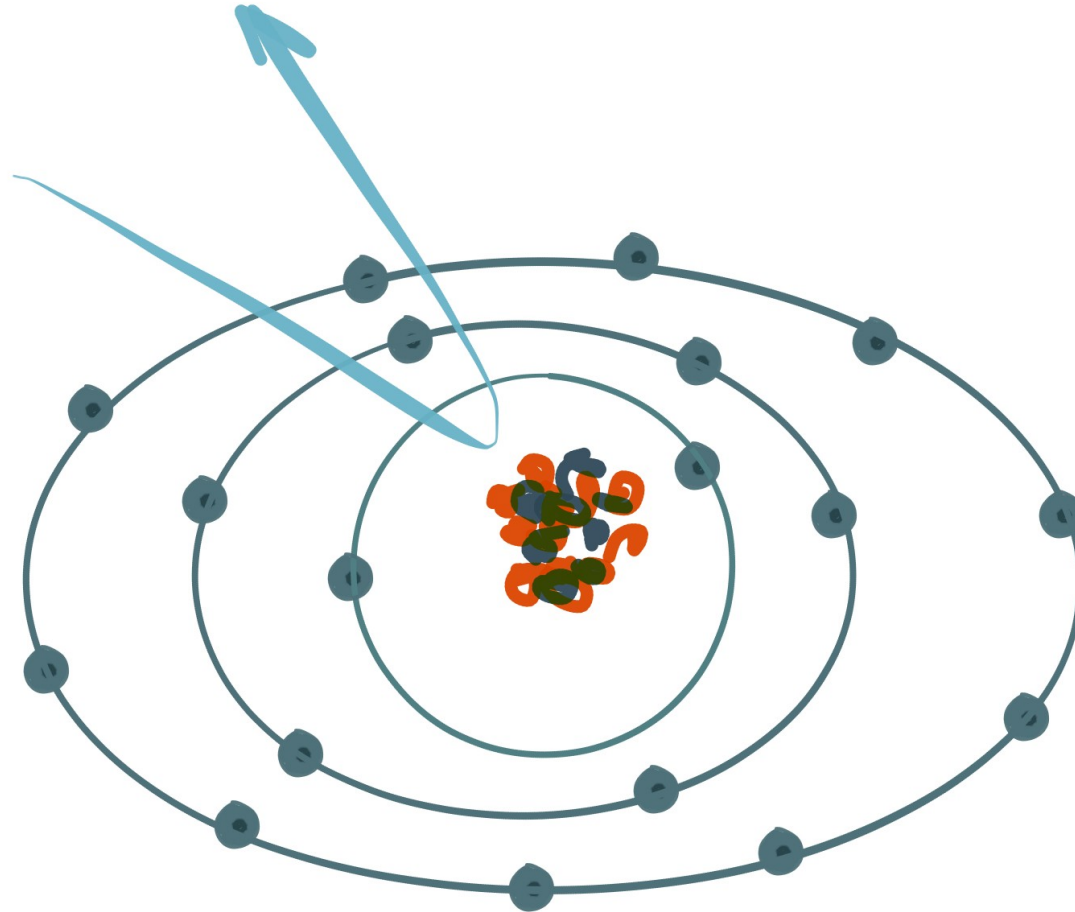
- Non-elastic collision
- Electrons come from inside the atoms in the sample.
- Low energy
- Information about surface topography





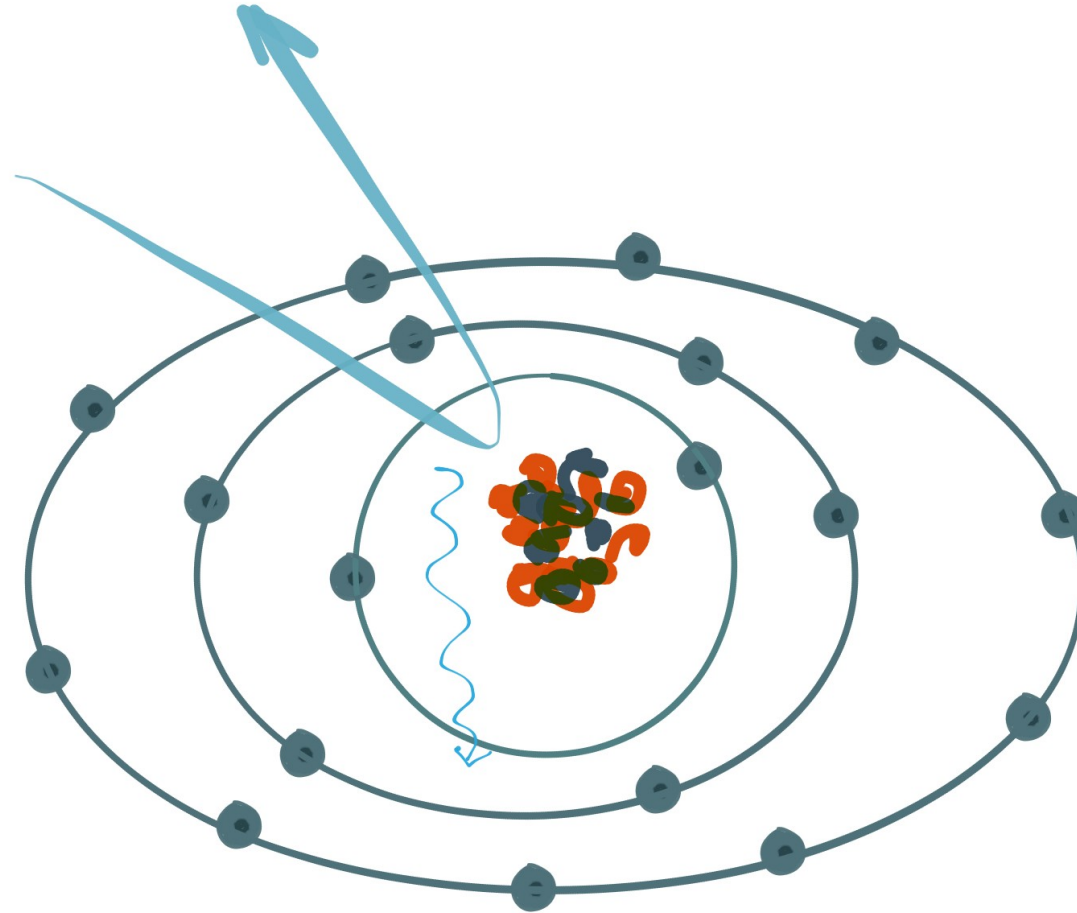
# Backscattered electrons

- (Almost) elastic collision
- Electrons come from the primary beam.
- High energy
- Probes deeper into the sample
- Some information about elemental composition



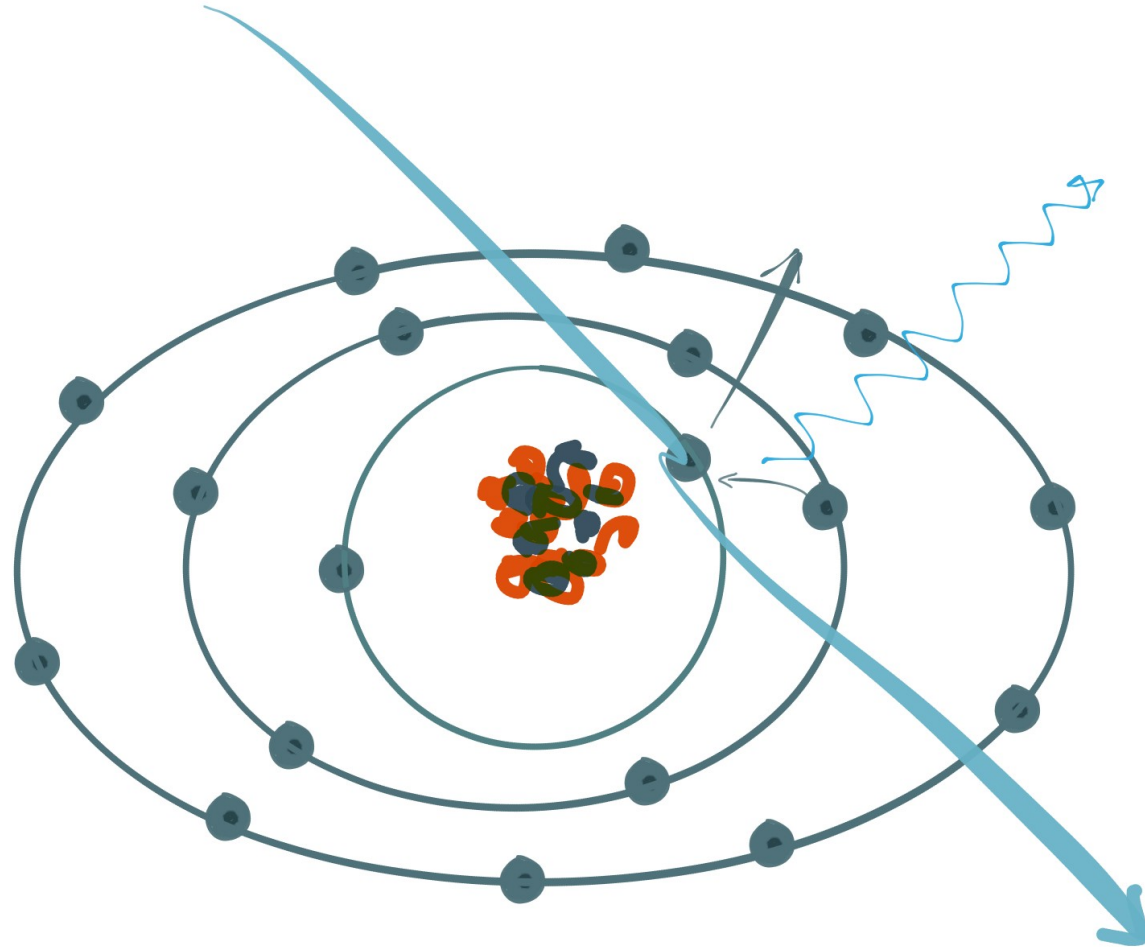
# X-ray emission (Bremsstrahlung)

- X-ray emission sent out when an electron is accelerated.
- No elemental information.
- Forms background in EDX.

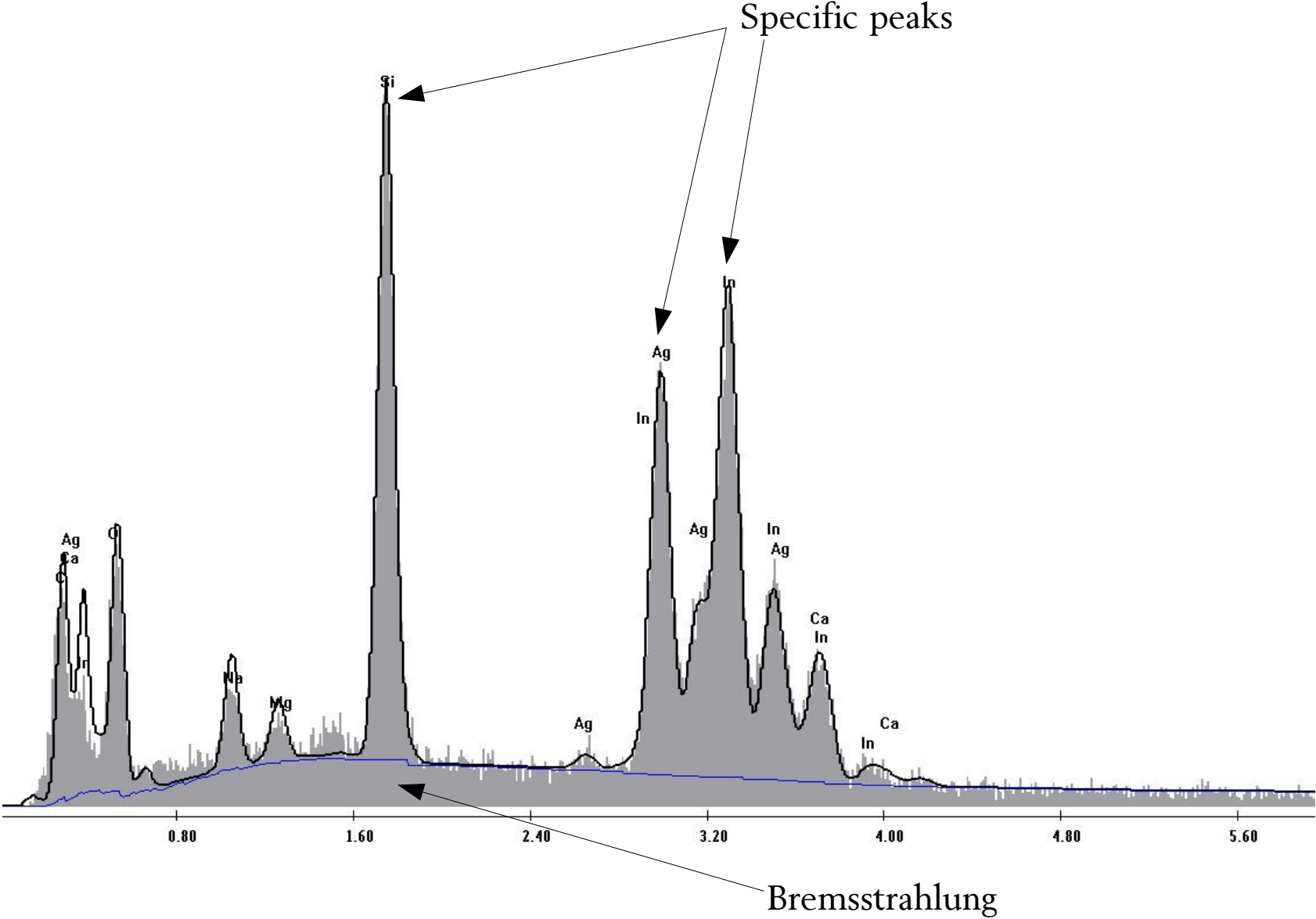


# X-ray emission

- X-ray emission sent out when an electron emitted from an inner shell and another outer electron fills the hole
- Specific elemental information.
- Basis of EDX.

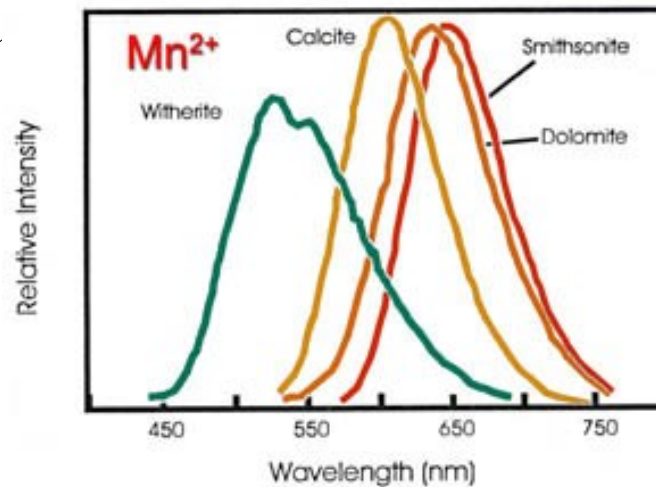
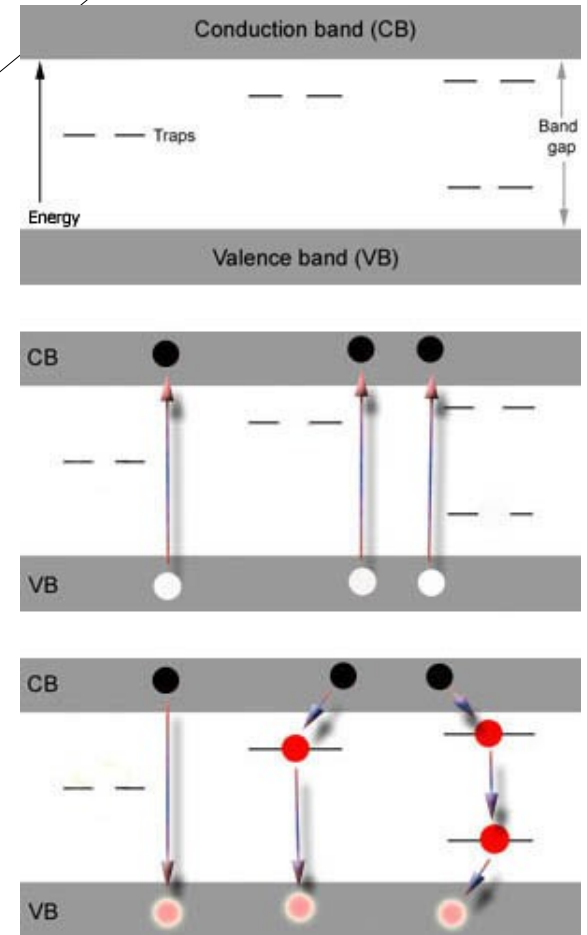
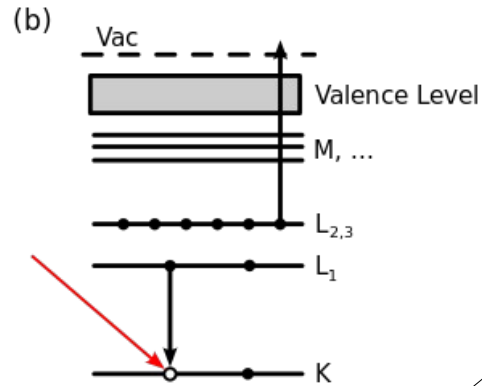
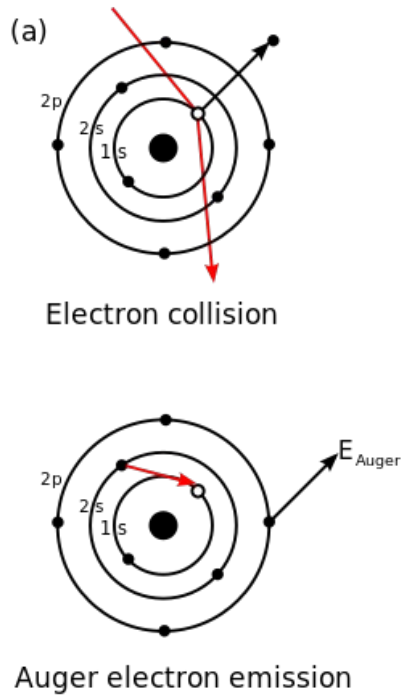


# X-ray emission

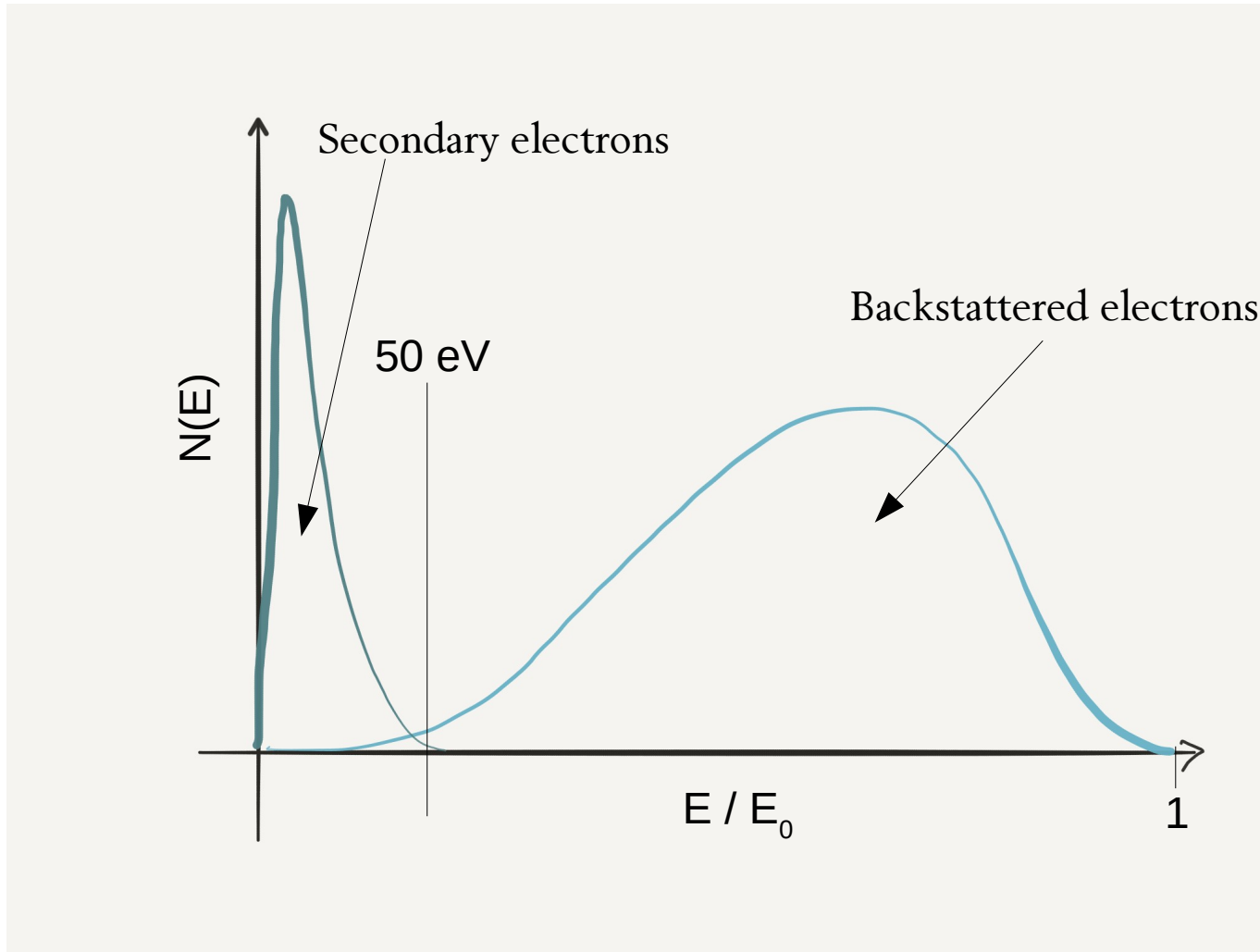




# Auger electrons / CL

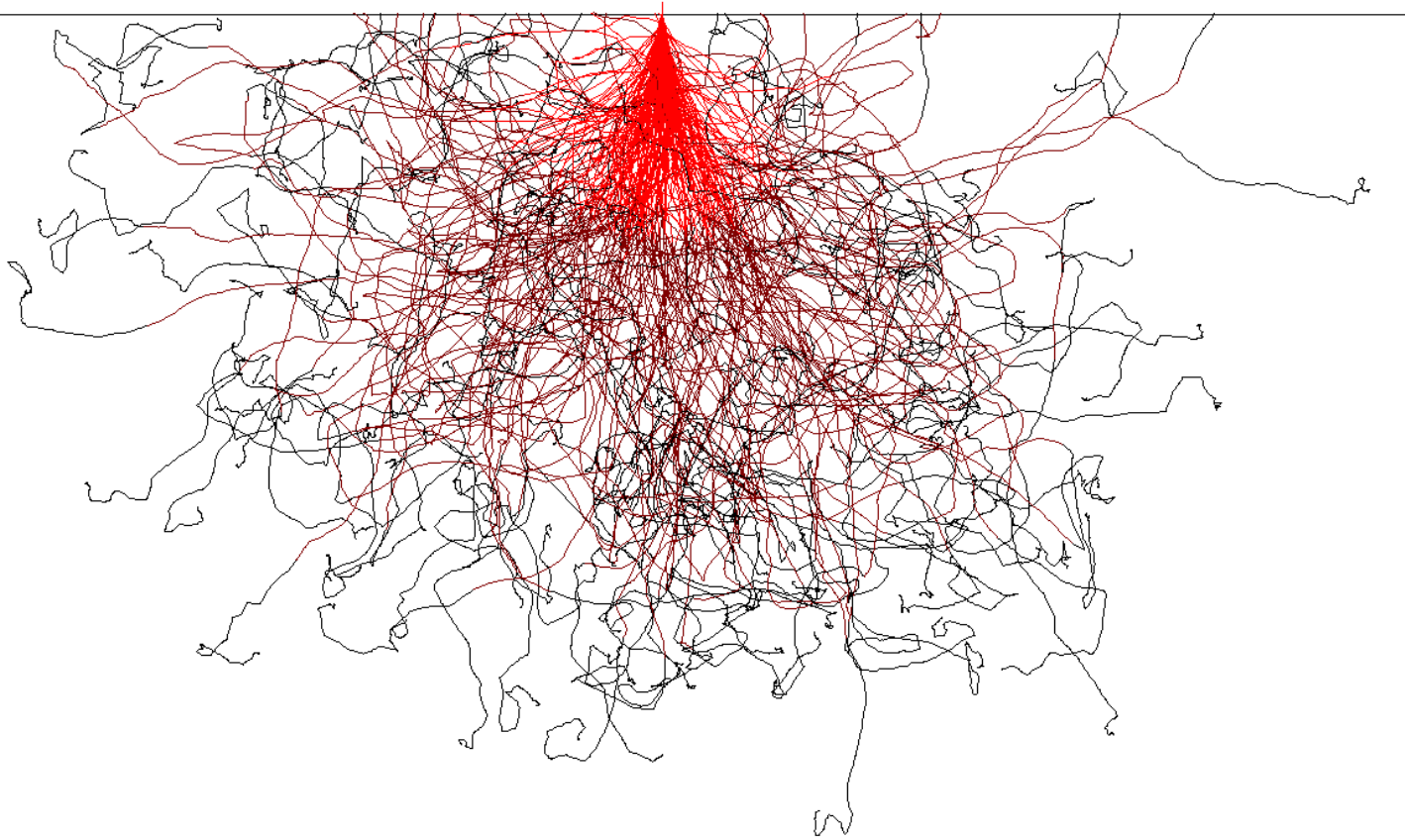


# “Types” of electrons



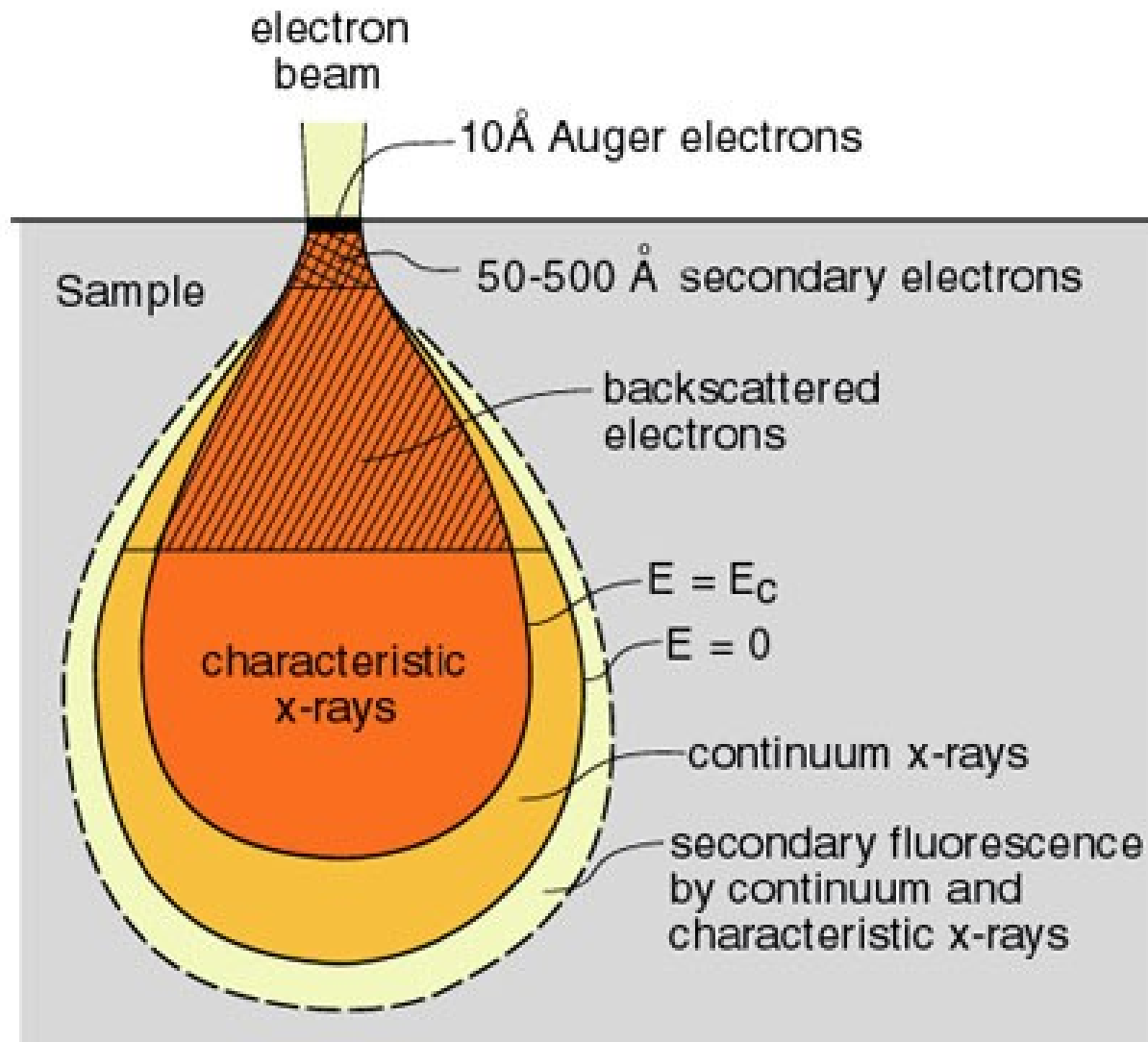
# Interaction volume

20 kV beam in Si



2  $\mu\text{m}$

# Interaction volume



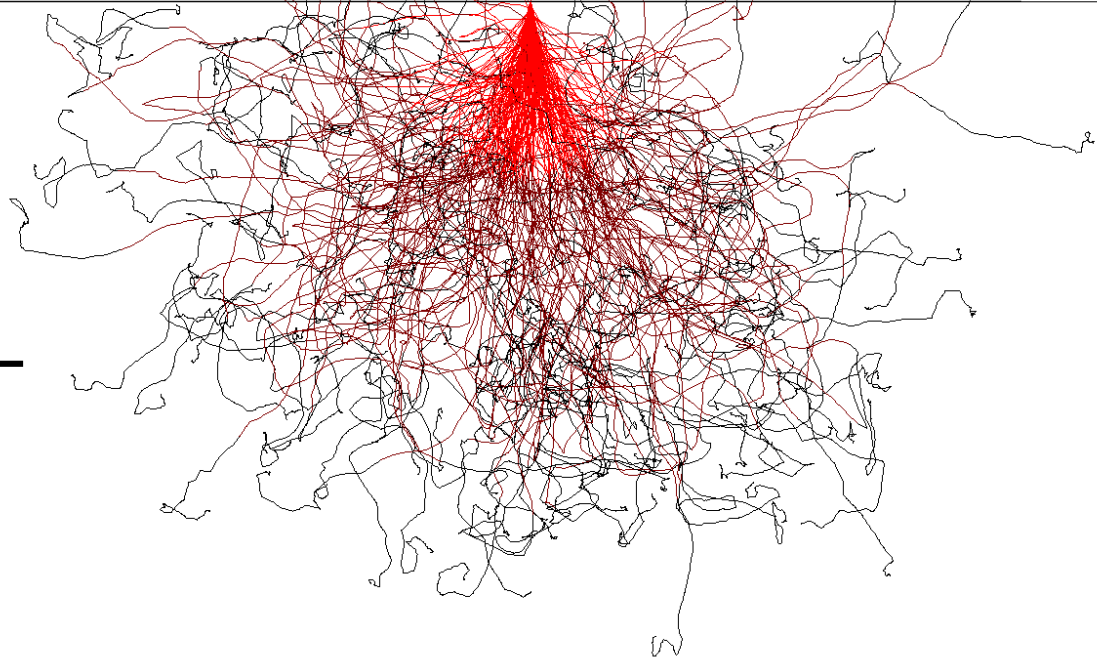
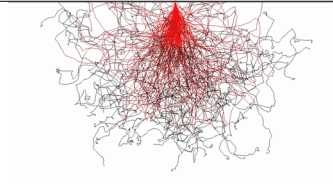


# Interaction volume

2 kV beam in Si

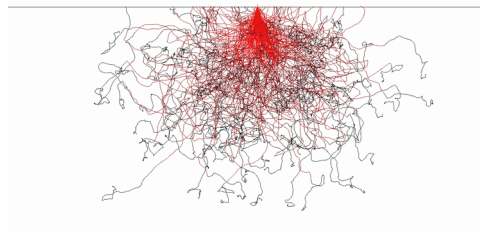
10 kV beam in Si

20 kV beam in Si

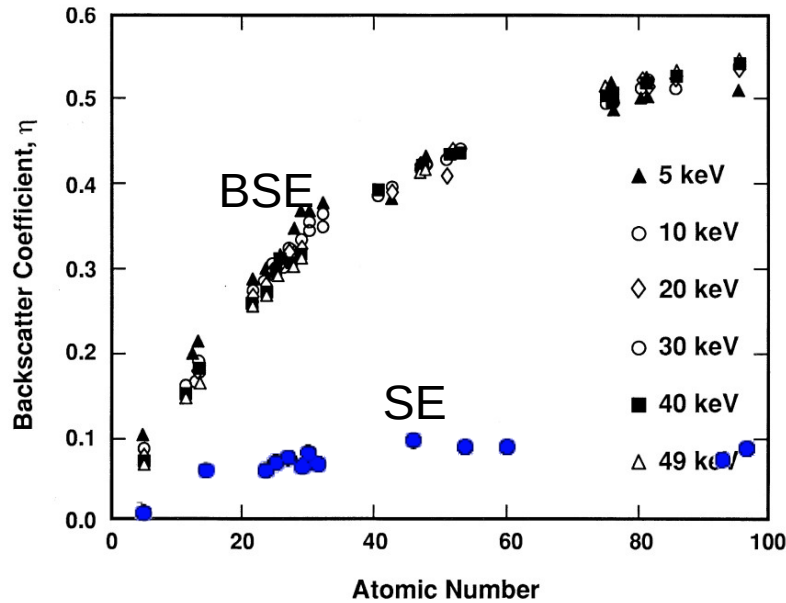


1  $\mu\text{m}$

20 kV beam in SS

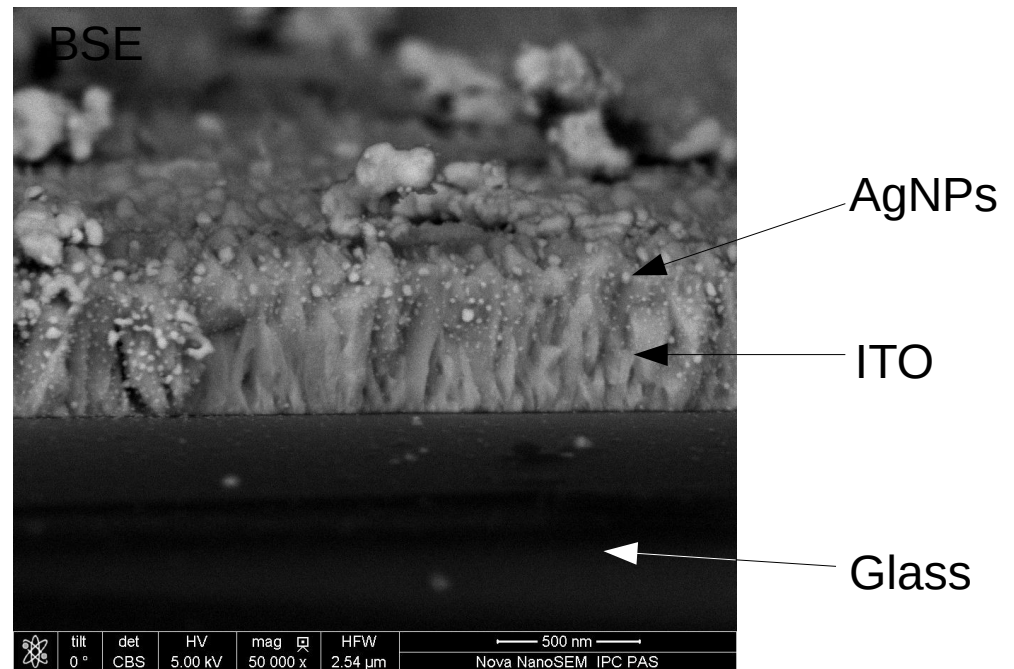
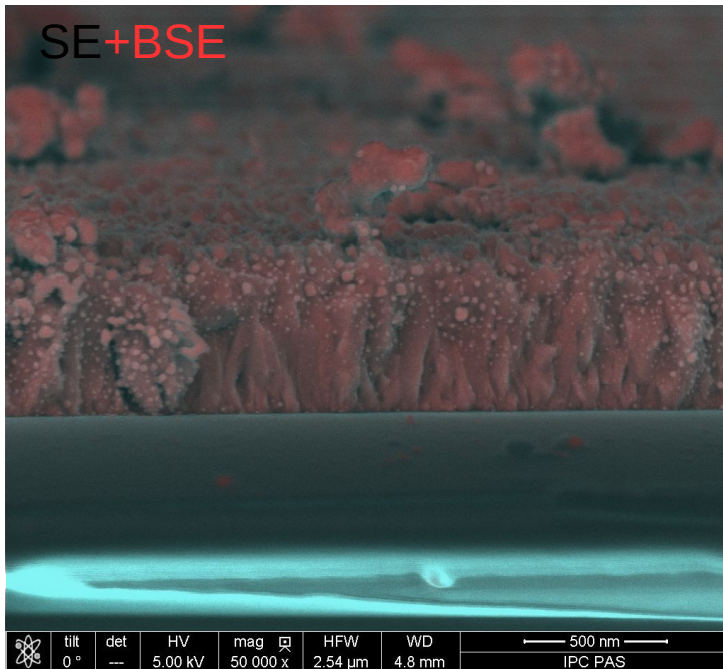


# Backscatter efficiency

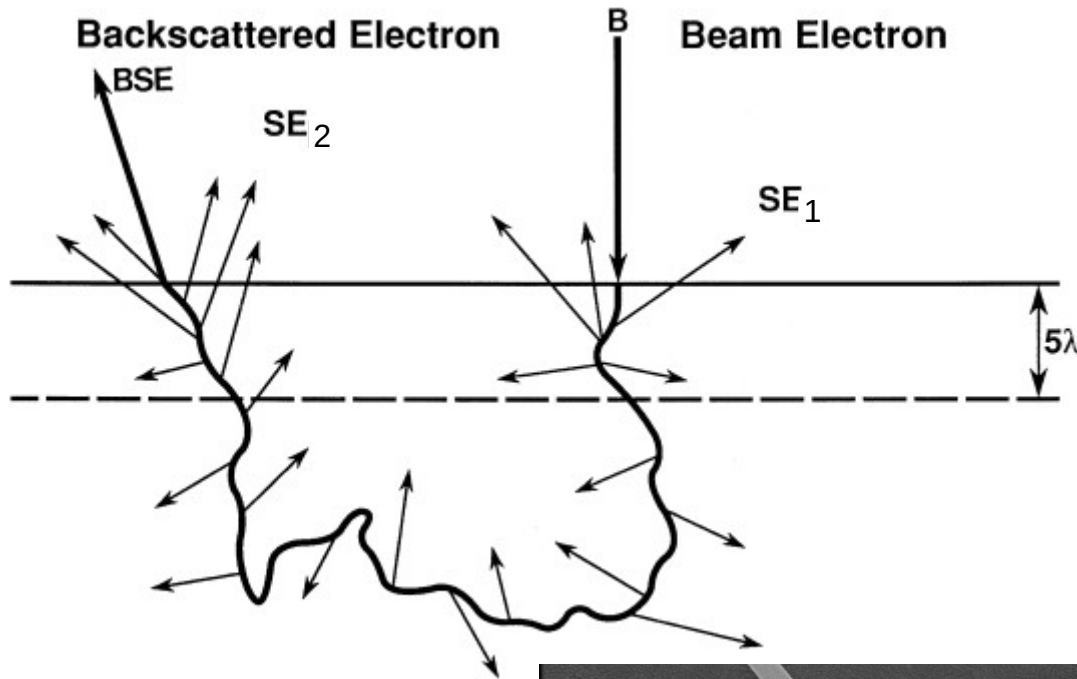


Backscatter efficiency is element dependent, but relatively insensitive to energy.

$$C = \frac{\eta_1 - \eta_2}{\eta_2} \quad \eta = \sum_i C_i \eta_i$$



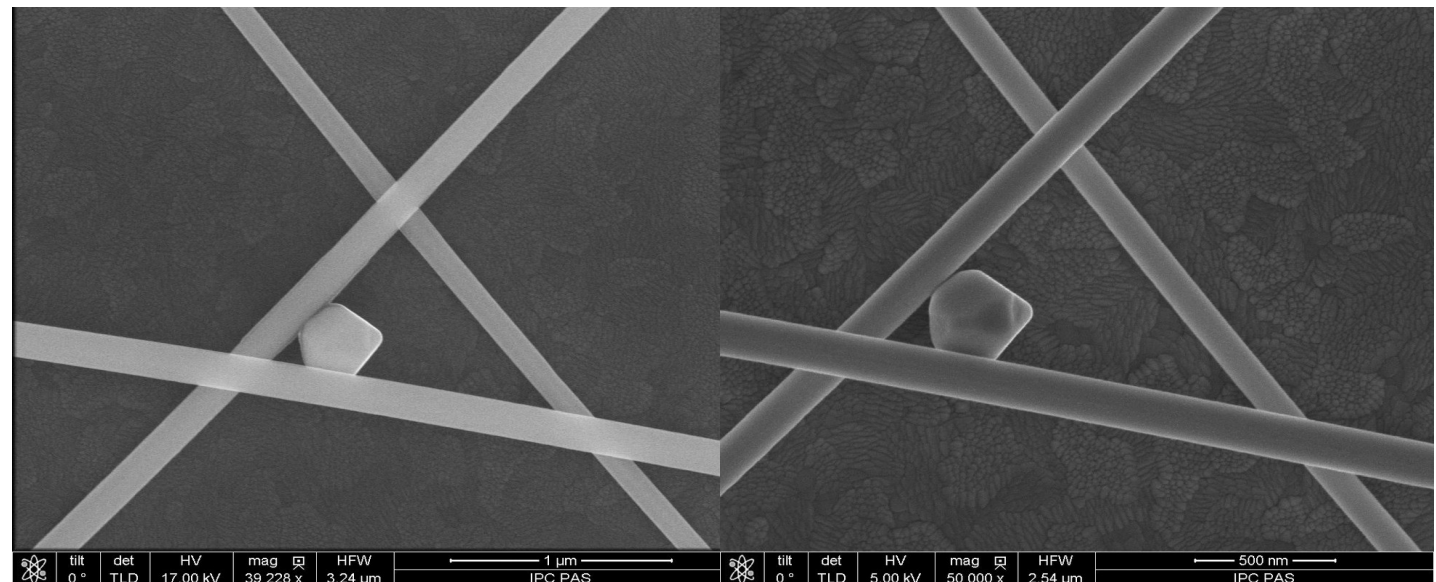
# Secondary electrons



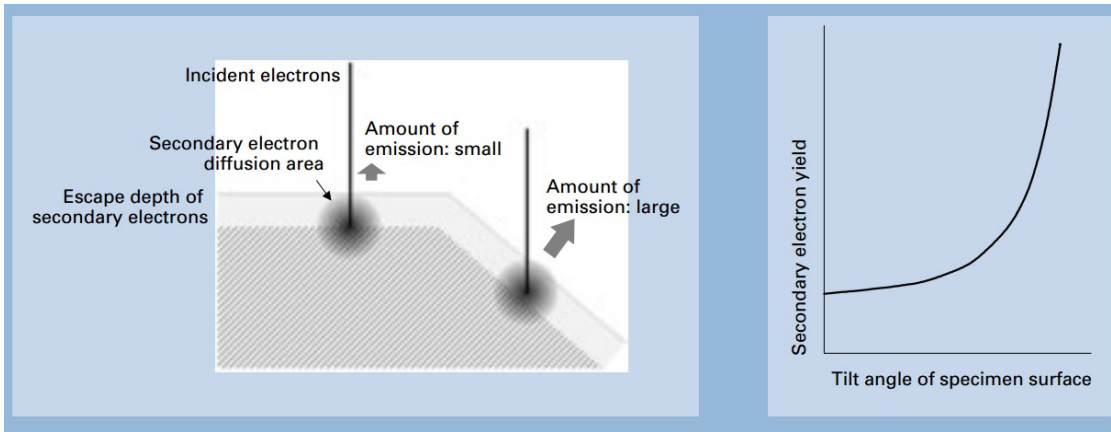
Secondary electrons are created both from the initial beam, and the scattered BSEs. Information about deep structures also in SE.

$\lambda \sim 1 \text{ nm}$  for metals  
 $\sim 10 \text{ nm}$  for insulators

SE images of nanowires with different acceleration voltages.



# Secondary electrons



SE yield is strongly angle dependent.  
→ bright sides of structures

Edge effect also gives over-saturated edges.

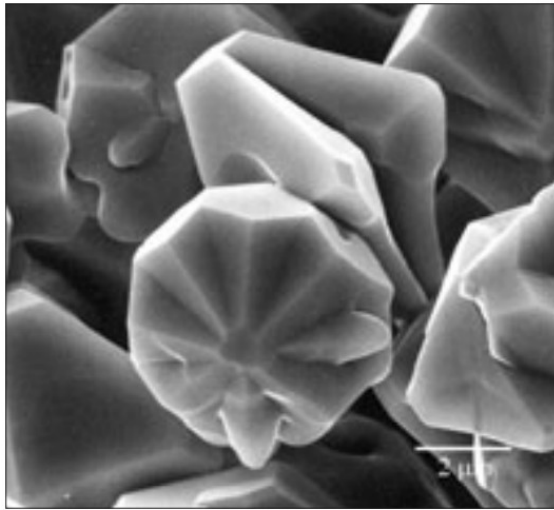
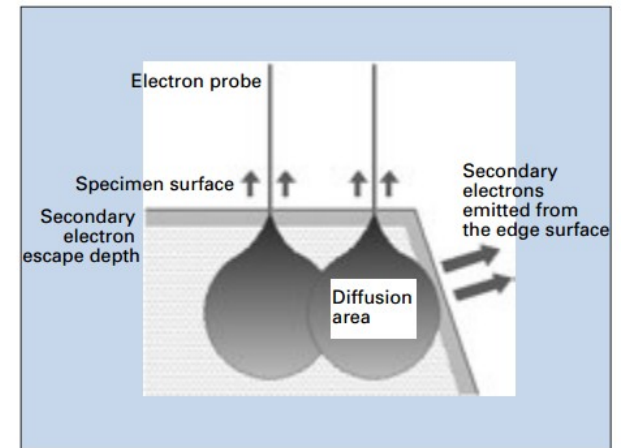
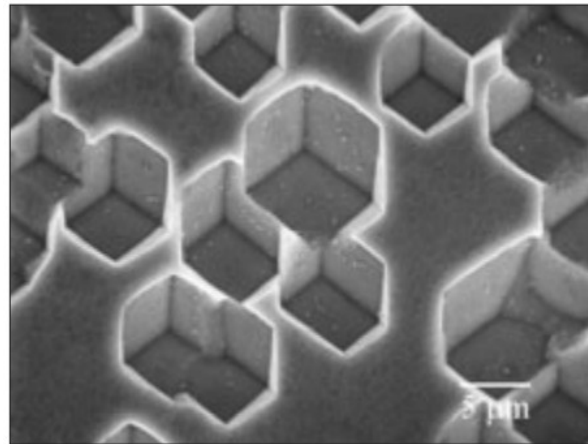


Fig. 15 Secondary electron image of tungsten oxide crystal.





# Charging

Charging occurs when the sample, or part of the sample, is not sufficiently conductive.

- Anomalous contrast  
Too bright, too dark. Often changing over time
- Distortion

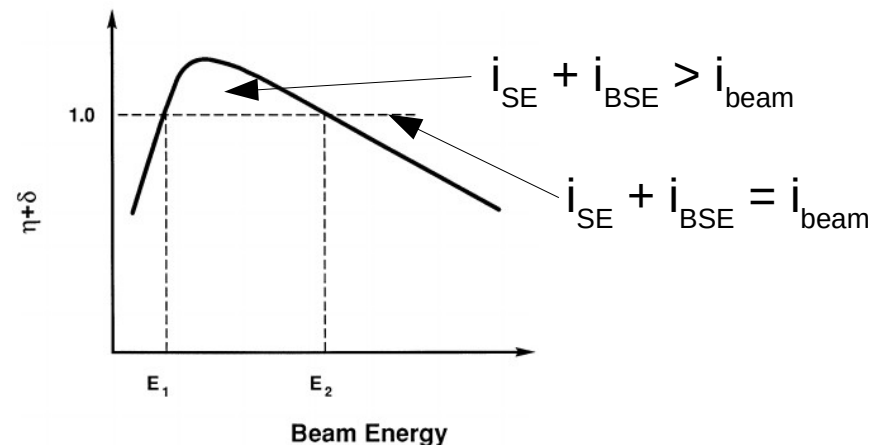
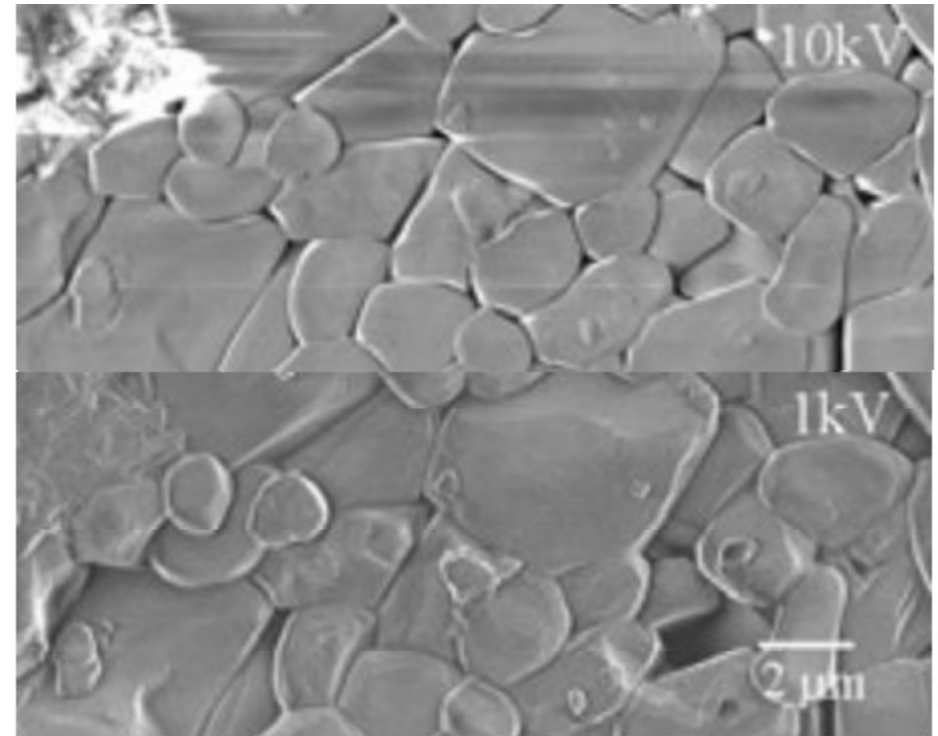
**Table 3.5.** Secondary Emission as a Function of Energy<sup>a</sup>

Element	5 keV	20 keV	50 keV
Al	0.4	0.1	0.05
Au	0.7	0.2	0.10

<sup>a</sup> After Reimer and Tollkamp (1980).

**Table 3.6.** Upper Crossover Energy for Various Materials (Normal Beam Incidence)

Material	$E_2$ (keV)	Reference
Kapton	0.4	Joy (unpublished)
Electron resist	0.55–0.70	Joy(1987)
Nylon	1.18	Joy (unpublished)
5% PB7/nylon	1.40	Krause <i>et al.</i> (1987)
Acetal	1.65	Vaz (1986)
Polyvinyl chloride	1.65	Vaz (1986)
Teflon	1.82	Vaz and Krause (1986)
Glass passivation	2.0	Joy (1987)
GaAs	2.6	Joy (1987)
Quartz	3.0	Joy (1987)
Alumina	4.2	Joy (unpublished)



# How to avoid charging?

- Lower voltage
- Lower current
- Faster scanning & image integration
- Line interlacing
- Tilting the sample
- BSE instead of SE
- Low vacuum mode
- Coating the sample